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(54) Title: NOVEL IDO INHIBITORS AND METHODS OF USE

(57) Abstract: Compounds, compositions and methods for the treatment of malignancy are disclosed.



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**NOVEL IDO INHIBITORS AND METHODS OF USE**

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This application claims priority under 35 U.S.C. §119(e) to US Provisional Application No. 60/527,449, filed on December 5, 2003, and US Provisional Application No. 60/458,162, filed on March 27, 2003, the entire contents of both applications are incorporated by reference herein.

**FIELD OF THE INVENTION**

This invention relates to the field of oncology. Specifically, the invention provides novel chemotherapeutic agents and methods of using such agents for the treatment of cancer.

**BACKGROUND OF THE INVENTION**

Tumors characteristically express atypical, potentially immunoreactive antigens that are collectively referred to as tumor antigens. Accumulating evidence suggests that the failure of the immune system to mount an effective response against progressively growing tumors is not attributable to a lack of recognizable tumor antigens. Immunosuppression by tumors is poorly understood and mechanisms by which tumors may escape immune surveillance have been poorly explored. Recently, it has been shown that cytotoxic T cells become tolerized by a reduction in local concentrations of tryptophan that are elicited by indoleamine 2,3-dioxygenase (IDO) activity.

IDO is an oxidoreductase that catalyzes the rate-limiting step in tryptophan catabolism. This enzyme is structurally distinct from tryptophan dioxygenase (TDO), which is responsible for dietary tryptophan catabolism in the liver. IDO is an IFN- $\gamma$  target gene that has been suggested to play a role in immunomodulation (Mellor and Munn (1999) *Immunol. Today*, 20:469-473). Elevation of IDO activity depletes the levels of tryptophan in local cellular environments. Induction of IDO in antigen-presenting cells, where IDO is regulated by IFN- $\gamma$ , blocks the activation of T cells, which are especially sensitive to tryptophan depletion. T cells must undergo 1-2 rounds of cell division to become activated, but in response to tryptophan depletion they arrest in G1 instead. In this way, IDO has been proposed to inhibit the T<sub>H</sub>1 responses that promote cytotoxic T cell development.

The main evidence for the role of IDO in immunosuppression is demonstrated by the ability of 1-methyl-tryptophan (1MT), a specific and bioactive IDO inhibitor (Cady and Sono (1991) *Arch. Biochem. Biophys.* 291:326-333), to elicit MHC-restricted and T cell-mediated rejection of allogeneic mouse concepti (Mellor et al. (2001) *Nat. Immunol.* 2:64-68; Munn et al. (1998) *Science*. 281: 1191-93). This effect is consistent with the high levels of IDO expression in placental trophoblast cells (Sedlmayr et al. (2002) *Mol. Hum. Reprod.* 8:385-391).

Significantly, IDO activity has been shown to be elevated frequently in human tumors and/or in cancer patients (Yasui et al. (1986) *Proc. Natl. Acad. Sci. USA*. 83:6622-26; Taylor and Feng (1991) *FASEB J.* 5:2516-22). Since IDO can modulate immune responses, one logical implication is that IDO elevation in cancer may promote

tumor immunosuppression (Mellor and Munn (1999) Immunol. Today, 20:469-473; Munn et al. (1999) J. Exp. Med. 189:1363-72; Munn et al. (1998) Science. 281:1191-93).

This possibility is supported by the observation that  
5 many cancers, including breast cancer, are characterized  
by a loss of beneficial immune functions that can limit  
malignant development. For example,  $T_H1$  responses (of  
which IFN- $\gamma$  production is a hallmark) that promote the  
production of cytotoxic T cells are suppressed during  
10 cancer progression. A resultant hypothesis from this  
data was that if IDO drives cancer progression by  
blunting T cell activation, then IDO inhibition in  
animals should blunt tumor growth by reversing  
IDO-mediated immunosuppression. However, delivery of the  
15 IDO inhibitor 1-methyl-tryptophan (1MT) only retarded and  
did not prevent tumor growth in a mouse model (Friberg et  
al. (2002) Int. J. Cancer 101:151-155; US Patent  
6,482,416).

Cellular signal transduction, i.e., the series of  
20 events leading from extracellular events to intracellular  
sequelae, is an aspect of cellular function in both  
normal and disease states. Numerous proteins that  
function as signal transducing molecules have been  
identified, including receptor and non-receptor tyrosine  
25 kinases, phosphatases and other molecules with enzymatic  
or regulatory activities. These molecules generally  
demonstrate the capacity to associate specifically with  
other proteins to form a signaling complex that can alter  
cellular proliferation.

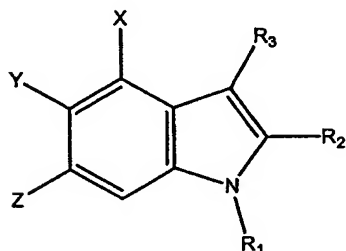
30 Aberrant signal transduction can lead to malignant  
transformation, growth, and progression. Accordingly,  
inhibitors of signal transduction pathways have been used  
to treat cancer. During the past few years, a number of  
signal transduction inhibitors (STIs) have been developed



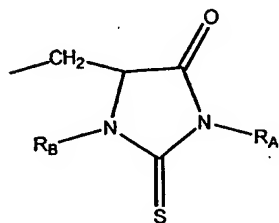
and their ability to suppress tumor growth is currently under investigation.

# SUMMARY OF THE INVENTION

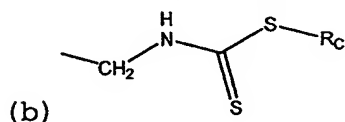
5 In accordance with one aspect of the invention, novel inhibitors of indoleamine 2,3-dioxygenase (IDO) activity are provided. The novel compounds have a formula selected from the group consisting of formula (I):



10 , wherein  $R_1$  is H or lower alkyl;  $R_2$  is H;  $R_3$  is selected from the group consisting of: (a)

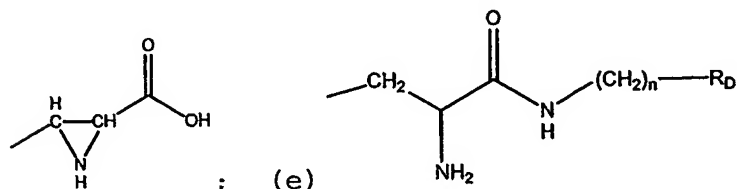


, wherein  $R_A$  and  $R_B$  are independently selected from the group of H and hydrocarbyl;

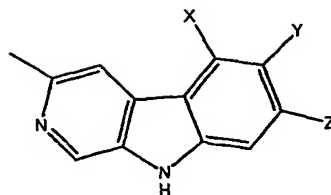


(b) , wherein  $R_C$  is selected from the

group of H and hydrocarbyl; (c) ; (d)

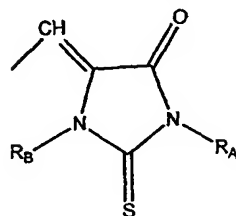


15 ; (e) , wherein  $n$  is a whole number from 1 to 10 and  $R_D$  is a carboline



substituent of the formula:

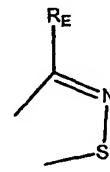
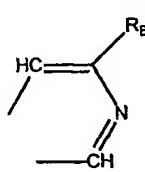
; and (f)



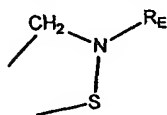
, wherein  $R_A$  and  $R_B$  are independently

selected from the group of H and hydrocarbyl; or  $R_2$  and  $R_3$  are joined together and represent part of a ring which is fused to the pyrrole moiety of formula (I) and which is

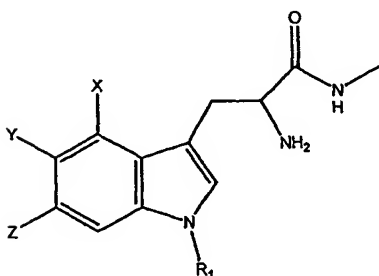
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selected from the group of: (i), (ii), and



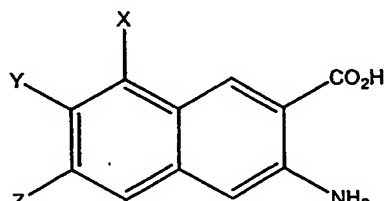
(iii), wherein  $R_E$  is a hydrocarbyl or alkyl-Q, Q representing a substituent of the formula:



, the compound of formula (I) being

10 a  $\beta$ -carboline derivative when  $R_2$  and  $R_3$  joined together represent (i), a brassilexin derivative when  $R_2$  and  $R_3$  joined together represent (ii), and an N-substituted brassilexin derivative when  $R_2$  and  $R_3$  joined together represent (iii); X, Y, and Z may be the same or different  
15 and are selected from the group consisting of H, halogen,  $\text{NO}_2$ , and hydrocarbyl; and when  $R_2$  and  $R_3$  are joined together and represent part of a ring system, Y may also

be isothiocyanate; with the proviso that formula (I) does not include any of the compounds: 3-(N-methyl-thiohydantoin)-indole, 3-(N-phenyl-thiohydantoin)-indole, 3-(N-allyl-thiohydantoin)-indole, 5-methyl-brassinin, 5 brassinin, brassilexin,  $\beta$ -carboline, 3-butyl- $\beta$ -carboline, 3-butyl- $\beta$ -carboline, 6-fluoro-3-carbomethoxy- $\beta$ -carboline, 6-isothiocyanate-3-carbomethoxy- $\beta$ -carboline, 3-propoxy- $\beta$ -carboline, 3-carboxy- $\beta$ -carboline, 3-carbopropoxy- $\beta$ -carboline, 3-carbo-tert-butoxy- $\beta$ -carboline; and



10 formula (II):  $Z$ , wherein X, Y, and Z may be the same or different and are selected from the group consisting of H, halogen,  $\text{NO}_2$ , and hydrocarbyl; and with the proviso that formula (II) does not include 3-amino-2-naphthoic acid.

15 According to another aspect of the present invention, a method for treating cancer in a patient is provided. The method comprises administering an effective amount of a pharmaceutical composition comprising at least one indoleamine 2,3-dioxygenase (IDO) 20 inhibitor, preferably a novel inhibitor of the instant invention, in a pharmaceutically acceptable carrier medium.

In another embodiment of the invention, a method for treating cancer in a patient in need thereof is provided. 25 The method comprises administering to the patient, concurrently or sequentially, an effective amount of at least one indoleamine 2,3-dioxygenase (IDO) inhibitor and at least one signal transduction inhibitor (STI). In a particular embodiment of the invention, the at least one 30 STI is selected from the group consisting of bcr/abl

kinase inhibitors, epidermal growth factor (EGF) receptor inhibitors, her-2/neu receptor inhibitors, and farnesyl transferase inhibitors (FTIs). The compounds may be administered in a pharmaceutically acceptable carrier medium.

5 In still another embodiment of the invention, another method for treating cancer in a patient in need thereof is provided. The method comprises administering to the patient, concurrently or sequentially, an effective amount of at least one indoleamine 2,3-  
10 dioxygenase (IDO) inhibitor and at least one chemotherapeutic agent. In a particular embodiment of the invention, the at least one chemotherapeutic agent is selected from the group consisting of paclitaxel  
15 (Taxol®), cisplatin, docetaxol, carboplatin, vincristine, vinblastine, methotrexate, cyclophosphamide, CPT-11, 5-fluorouracil (5-FU), gemcitabine, estramustine, carmustine, adriamycin (doxorubicin), etoposide, arsenic trioxide, irinotecan, and epothilone derivatives. The  
20 compounds may be administered in a pharmaceutically acceptable carrier medium.

According to yet another aspect of the instant invention, a method is provided for treating cancer in a patient in need thereof by administering to the patient,  
25 concurrently or sequentially, an effective amount of at least one immunomodulator other than an IDO inhibitor and an effective amount of at least one cytotoxic chemotherapeutic agent or at least one STI. In a particular embodiment the at least one immunomodulator is  
30 selected from the group consisting of CD40L, B7, B7RP1, ant-CD40, anti-CD38, anti-ICOS, 4-1BB ligand, dendritic cell cancer vaccine, IL2, IL12, ELC/CCL19, SLC/CCL21, MCP-1, IL-4, IL-18, TNF, IL-15, MDC, IFNa/b, M-CSF, IL-3, GM-CSF, IL-13, and anti-IL-10. In another particular

embodiment, the at least one cytotoxic chemotherapeutic agent is selected from the group consisting of paclitaxel (Taxol®), cisplatin, docetaxol, carboplatin, vincristine, vinblastine, methotrexate, cyclophosphamide, CPT-11, 5-fluorouracil (5-FU), gemcitabine, estramustine, carmustine, adriamycin (doxorubicin), etoposide, arsenic trioxide, irinotecan, and epothilone derivatives.

In yet another embodiment of the present invention, a method is provided for treating a chronic viral infection in a patient in need thereof by administering to the patient, concurrently or sequentially, an effective amount of at least one indoleamine 2,3-dioxygenase (IDO) inhibitor and at least one chemotherapeutic agent. The at least one chemotherapeutic agent may be selected from the group consisting of paclitaxel (Taxol®), cisplatin, docetaxol, carboplatin, vincristine, vinblastine, methotrexate, cyclophosphamide, CPT-11, 5-fluorouracil (5-FU), gemcitabine, estramustine, carmustine, adriamycin (doxorubicin), etoposide, arsenic trioxide, irinotecan, and epothilone derivatives. The active agents may be administered with or without a pharmaceutically acceptable carrier medium. The method of the invention may be used to treat chronic viral infection selected from the group consisting of: hepatitis C virus (HCV), human papilloma virus (HPV), cytomegalovirus (CMV), Epstein-Barr virus (EBV), varicella zoster virus, coxsackie virus, human immunodeficiency virus (HIV).

### BRIEF DESCRIPTION OF THE DRAWINGS

Figure 1 provides a scheme for synthesizing thiohydantoin derivatives of the present invention.

Figure 2 provides a scheme of the present invention for derivatizing indole at C-4 and N-1 positions.

Figure 3 provides a scheme for synthesizing C-6 substituted indoles of the present invention.

Figure 4 provides a scheme for synthesizing C-4, C-5, and C-6 trisubstituted indoles of the present invention.

Figure 5 provides an alternative synthesis scheme of thiohydantoin derivatives of the present invention.

Figure 6 provides a scheme for synthesizing brassinin derivatives of the present invention.

Figure 7 provides a scheme for synthesizing C-5 and C-6 substituted  $\beta$ -carboline derivatives of the present invention.

Figure 8 provides a scheme for synthesizing C-3 alkyl substituted  $\beta$ -carboline derivatives of the present invention.

Figure 9 provides an alternative synthesis scheme of  $\beta$ -carboline derivatives of the present invention.

Figure 10 provides a scheme for synthesizing 3-amino-6/7-bromo-2-naphthoic acid of the present invention.

Figure 11 provides a scheme for synthesizing C-6, C-7, and C-8 trisubstituted 3-amino-2-naphthoic acids of the present invention.

Figure 12 provides an alternative synthesis scheme of C-6, C-7, and C-8 trisubstituted 3-amino-2-naphthoic acids of the present invention.

Figure 13 provides a scheme for synthesizing brassilexin derivatives of the present invention.

Figure 14 provides an alternative scheme for synthesizing substituted brassilexin derivatives of the present invention.

Figure 15 provides a scheme for synthesizing N-substituted brassilexin derivatives of the present invention.

Figure 16 provides a scheme for synthesizing cyclopropyl tryptophan derivatives of the present invention.

Figure 17 provides a scheme for synthesizing  
5 aziridinyl tryptophan derivatives of the present invention.

Figure 18 provides a scheme for synthesizing tethered competitive/noncompetitive derivatives of the present invention.

10 Figure 19A and 19B are graphs showing the results of an enzyme assay for IDO inhibitors. Global nonlinear regression analysis of enzyme kinetic data was obtained for human IDO in the presence of increasing concentrations of A) 1MT and B) MTH-Trp. Data were  
15 plotted and analyzed using the Prism4 software package (GraphPad). Best fit values of  $K_i$  for 1MT =  $34.6\mu\text{M}$  and for MTH-Trp =  $11.4\mu\text{M}$

Figure 20 is a graph showing the result of a cell-based IDO inhibitor assay of 2 log dose escalation  
20 studies for 1MT against IDO, 1MT against TDO, and MTH-Trp against IDO. Data were plotted using the Prism4 data analysis program (GraphPad), and Hill slope and  $\text{EC}_{50}$  values were determined by nonlinear regression analysis.

Figure 21 is a schematic diagram of the kynurenine  
25 metabolism pathway. IDO is an extrahepatic, interferon- $\gamma$  inducible oxidoreductase. The product of the IDO reaction, *N*-formylkynurenine is rapidly hydrolyzed to kynurenine. Kynurenine is distributed between tissue and blood spaces because there is little or no activity of  
30 the enzymes that further metabolize kynurenine in tissues. The major route of kynurenine clearance is excretion in urine after conversion to xanthurenic acid in the liver and/or kidneys, although it is also an intermediate in the biosynthetic pathway that produces

nicotinamide adenine dinucleotide (NAD) (Takikawa et al. (1986) J. Biol. Chem. 261:3648-3653; Thomas and Stocker (1999) Redox. Report 4:199-220).

Figures 22A-D are chromatograms showing the results of HPLC analysis of mouse serum. Serum was prepared by incubating collected blood samples at 4°C overnight and removing the clot. Protein was removed by TCA precipitation. Samples were resolved on a 250mm x 4.5mm Luna 5µ C18 column (Phenomenex) in isocratic buffer consisting of 20% MeOH, 5% acetonitrile, 10mM KPO<sub>4</sub> (pH 5.3), 0.15mM EDTA. Serum samples were prepared from male FVB strain mice treated as follows: A) Untreated (serum was spiked with 30µM each of the following control compounds; tryptophan, kynurenine, and 1MT); B) Untreated (55µM serum tryptophan is detectable); C) LPS challenged for 24 hrs. (induction of kynurenine to 5.6µM is detectable), D) 1MT pellets implanted subcutaneously for 3 days (104µM 1MT is detectable). Output sensitivity (Y-axis) has been adjusted at 6.66 and 14.5 minutes to optimize for each anticipated peak height. Ky = kynurenine, W = tryptophan, 1MT = 1-methyl-tryptophan.

Figure 23 is a graph illustrating the fold change in tumor volume of MMTVneu mice either mock treated (untreated) or treated with 1MT, L-744,832 (FTI), 1MT and L-744,832, and chemotherapeutic agents with or without 1MT. Each data point was determined from an individual mouse and the bars indicate the mean of the data points as listed at the bottom of the graph.

Figure 24 is a graph of the results from an in vitro biochemical assay for screening of IDO inhibitor candidates. Data is provided relative to the amount of kynurenine produced in the absence of inhibitor.

Figures 25A and 25B are graphs of the results from the cell-based assay for screening of IDO inhibitor



candidates. In Figure 25A, data is provided relative to the amount of kynurenine produced in the absence of inhibitor. In Figure 25B, the data is presented in terms of fluorescence, which is indicative of kynurenine production (i.e., IDO activity). Cells were either transfected with an empty expression vector (vector) or an expression vector containing the cDNA of IDO.

Figure 26 provides graphs of the thiohydantoin derivatives of indoleamine in the cell-based assay for screening of IDO inhibitor candidates. The cells were transfected with empty expression vectors (vector) or with expression vectors which contain IDO or TDO. For comparison, cells transfected with the IDO expression vector were also assayed in the presence of 1MT.

Figure 27 is a chart of certain IDO inhibitors, their structures, and their ability to inhibit IDO and TDO activity at a concentration of 250  $\mu$ M in a cell-based assay.

Figure 28 provides graphs demonstrating the toxicity of certain IDO inhibitors of neoplastically transformed breast (top panel) or prostate (bottom panel) cancer cells. Cells were either untreated (Untx) or treated with 100  $\mu$ M of inhibitor.

Figure 29 is a graph illustrating the fold change in tumor volume of MMTVneu mice either mock treated (untreated) or treated with 1MT, paclitaxel (Taxol®), 1MT and paclitaxel (Taxol®), cisplatin, or 1MT and cisplatin. Each data point was determined from an individual mouse and the bars indicate the mean of the data points as listed at the bottom of the graph.

#### DETAILED DESCRIPTION OF THE INVENTION

In one embodiment of the present invention, a group of novel compounds exhibiting IDO inhibitory activity are

provided. Also encompassed within the invention are pharmaceutical compositions comprising such compounds and methods of use thereof for inhibiting tumor growth.

5 In yet another embodiment of the present invention, a combination treatment protocol comprising administration of an IDO inhibitor with a signal transduction inhibitor (STI) is provided, which is effective for suppressing tumor growth.

10 In accordance with yet another aspect of the present invention, another combination treatment protocol comprising administration of an IDO inhibitor with a chemotherapeutic agent is provided, which is effective for suppressing tumor growth.

15 In still another embodiment of the present invention, a combination treatment protocol is provided for the treatment of a chronic viral infection, comprising the administration of an IDO inhibitor and a chemotherapeutic agent.

## 20 I. Definitions

The term "IDO inhibitor" refers to an agent capable of inhibiting the activity of indoleamine 2,3-dioxygenase (IDO) and thereby reversing IDO-mediated immunosuppression. An IDO inhibitor may be a competitive, noncompetitive, or irreversible IDO inhibitor. "A competitive IDO inhibitor" is a compound that reversibly inhibits IDO enzyme activity at the catalytic site (for example, without limitation, 1-methyl-tryptophan); "a noncompetitive IDO Inhibitor" is a compound that reversibly inhibits IDO enzyme activity at a non-catalytic site (for example, without limitation, norharman); and "an irreversible IDO inhibitor" is a compound that irreversibly destroys IDO enzyme activity by forming a covalent bond with the enzyme (for example,

25  
30

without limitation, cyclopropyl/aziridinyl tryptophan derivatives).

IDO inhibitors of the instant invention may include, without limitation, i) previously established (known) IDO inhibitors, such as, but not limited to: 1-methyl-DL-tryptophan (1MT; Sigma-Aldrich; St. Louis, MO),  $\beta$ -(3-benzofuranyl)-DL-alanine (Sigma-Aldrich), beta-(3-benzo(b)thienyl)-DL-alanine (Sigma-Aldrich), 6-nitro-L-tryptophan (Sigma-Aldrich), indole 3-carbinol (LKT Laboratories; St. Paul, MN), 3,3'-diindolylmethane (LKT Laboratories), epigallocatechin gallate (LKT Laboratories), 5-Br-4-Cl-indoxyl 1,3-diacetate (Sigma-Aldrich), 9-vinylcarbazole (Sigma-Aldrich), acemetacin (Sigma-Aldrich), 5-bromo-DL-tryptophan (Sigma-Aldrich), and 5-bromoindoxyl diacetate (Sigma-Aldrich); and ii) the novel IDO inhibitors of the instant invention. In a preferred embodiment of the invention, the IDO inhibitors include the novel IDO inhibitors of the present invention.

A "signal transduction inhibitor" is an agent that selectively inhibits one or more vital steps in signaling pathways, in the normal function of cancer cells, thereby leading to apoptosis.

Signal transduction inhibitors (STIs) of the instant invention include, but are not limited to, (i) bcr/abl kinase inhibitors such as, for example, STI 571 (Gleevec); (ii) epidermal growth factor (EGF) receptor inhibitors such as, for example, kinase inhibitors (Iressa, SSI-774) and antibodies (Imclone: C225 [Goldstein et al. (1995), *Clin Cancer Res.* 1:1311-1318], and Abgenix: ABX-EGF); (iii) her-2/neu receptor inhibitors such as, for example, Herceptin<sup>™</sup> (trastuzumab), and farnesyl transferase inhibitors (FTI) such as, for example, L-744,832 (Kohl et al. (1995), *Nat*

Med. 1(8):792-797); (iv) inhibitors of Akt family kinases or the Akt pathway, such as, for example, rapamycin (see, for example, Sekulic et al. (2000) Cancer Res. 60:3504-3513); (v) cell cycle kinase inhibitors such as, for example, flavopiridol and UCN-01 (see, for example, Sausville (2003) Curr. Med. Chem. Anti-Canc Agents 3:47-56); and (vi) phosphatidyl inositol kinase inhibitors such as, for example, LY294002 (see, for example, Vlahos et al. (1994) J. Biol. Chem. 269:5241-5248).

10       A "therapeutically effective amount" of a compound or a pharmaceutical composition refers to an amount sufficient to modulate tumor growth or metastasis in an animal, especially a human, including without limitation decreasing tumor growth or size or preventing formation of tumor growth in an animal lacking any tumor formation prior to administration, i.e., prophylactic administration.

20       "Pharmaceutically acceptable" indicates approval by a regulatory agency of the Federal or a state government or listed in the U.S. Pharmacopeia or other generally recognized pharmacopeia for use in animals, and more particularly in humans.

25       A "carrier" refers to, for example, a diluent, adjuvant, excipient, auxilliary agent or vehicle with which an active agent of the present invention is administered. Such pharmaceutical carriers can be sterile liquids, such as water and oils, including those of petroleum, animal, vegetable or synthetic origin, such as peanut oil, soybean oil, mineral oil, sesame oil and the like. Water or aqueous saline solutions and aqueous dextrose and glycerol solutions are preferably employed as carriers, particularly for injectable solutions. Suitable pharmaceutical carriers are described in "Remington's Pharmaceutical Sciences" by E.W. Martin.

"Concurrently" means (1) simultaneously in time, or (2) at different times during the course of a common treatment schedule.

"Sequentially" refers to the administration of one component of the method followed by administration of the other component. After administration of one component, the next component can be administered substantially immediately after the first component, or the next component can be administered after an effective time period after the first component; the effective time period is the amount of time given for realization of maximum benefit from the administration of the first component,

"Hydrocarbyl" refers to an unsubstituted or substituted hydrocarbon moiety containing from about 1 to about 10 carbon atoms or from about 1 to about 25 carbon atoms, which may be a straight, branched, or cyclic hydrocarbon group. When substituted, hydrocarbyl groups may be substituted at any available point of attachment. Exemplary unsubstituted groups include alkyls such as methyl, ethyl, propyl, isopropyl, n-butyl, t-butyl, isobutyl, pentyl, hexyl, isohexyl, heptyl, 4,4-dimethylpentyl, octyl, 2,2,4-trimethylpentyl, nonyl, decyl, undecyl, dodecyl, octadecyl, nonadecyl, eicosyl, heneicosyl, docosyl, tricosyl, tetracosyl, pentacosyl, and the like; aryls such as phenyl, tolyl, xylyl, naphthyl, biphenyl, tetraphenyl, and the like; aralkyls such as benzyl, phenethyl, phenpropyl, phenbutyl, phenhexyl, naphthoetyl, and the like; and cycloalkyls such as cyclopropyl, cyclobutyl, cyclohexyl, cycloheptyl, cyclooctyl, and the like. Exemplary substituents may include but are not limited to one or more of the following groups: halo (such as F, Cl, Br, I), haloalkyl (such as CCl<sub>3</sub> or CF<sub>3</sub>), alkoxy, alkylthio, hydroxy, carboxy

(-COOH), carbonyl (-C(=O)), epoxy, alkyloxycarbonyl (-C(=O)-OR), alkylcarbonyloxy (-OC(=O)-R), amino (-NH<sub>2</sub>), carbamoyl (NH<sub>2</sub>C(=O)- or NHRC(=O)-), urea (-NHCONH<sub>2</sub>), alkylurea (-NHCONHR) or thiol (-SH), wherein R in the  
 5 aforementioned substituents represents a hydrocarbyl moiety. Hydrocarbyl groups (moieties) as defined herein may also comprise one or more carbon to carbon double bonds or one or more carbon to carbon triple bonds (i.e., the hydrocarbyl groups may be unsaturated). Exemplary  
 10 unsaturated hydrocarbyl groups include alkenyls such as allyl and vinyl. Hydrocarbyl groups may also be interrupted with at least one oxygen, nitrogen, or sulfur atom.

The terms "halogen," "halo," and "halide" refer to  
 15 chlorine, bromine, fluorine or iodine.

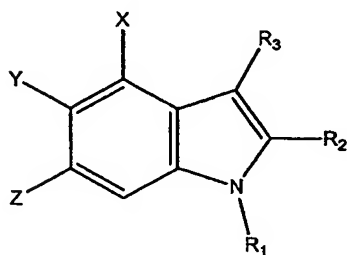
"Lower alkyl" refers to an alkyl having about 1 to 4 carbon atoms, such as methyl, ethyl, propyl, butyl, and isopropyl. In a certain embodiment, the lower alkyl is methyl.

20

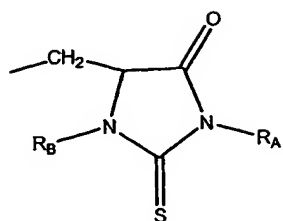
## II. Novel Compounds Exhibiting IDO Inhibitory Activity and Methods of Use

In accordance with the instant invention, novel compounds of the following formulas, which are capable of  
 25 inhibiting IDO activity and thereby suppressing tumor growth, are provided:

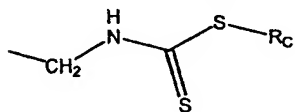
formula (I):



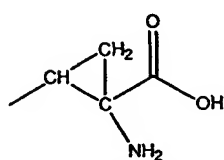
, wherein R<sub>1</sub> is H or lower alkyl; R<sub>2</sub> is H; R<sub>3</sub> is selected from the group consisting of: (a)



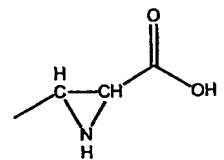
, wherein  $R_A$  and  $R_B$  are independently selected from the group of H and hydrocarbyl; (b)



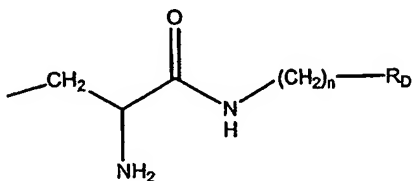
, wherein  $R_C$  is selected from the group



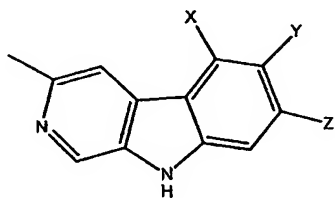
of H and hydrocarbyl; (c)



; (d)

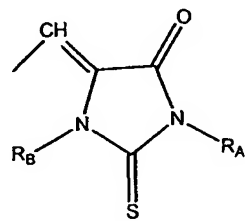


(e) , wherein  $n$  is a whole number from 1 to 10 and  $R_D$  is a carboline substituent of the



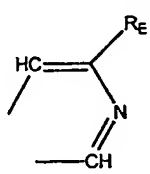
formula:

; and (f)



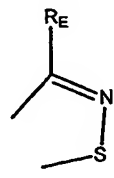
wherein  $R_A$  and  $R_B$  are independently selected from the group of H and hydrocarbyl; or  $R_2$  and  $R_3$  are joined together and represent part of a ring which is fused to the pyrrole moiety of formula (I) and which is selected

10

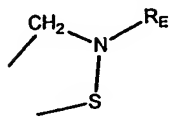


from the group of:

(i),

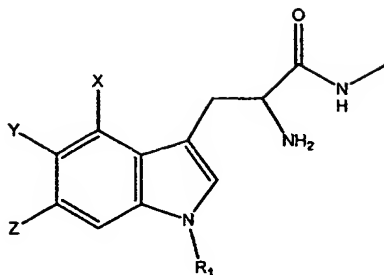


(ii), and

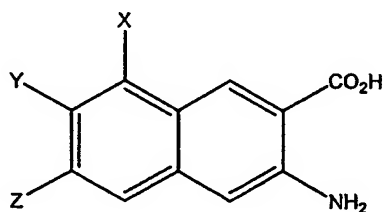


(iii), wherein  $R_E$  is a hydrocarbyl or alkyl-Q, Q

representing a substituent of the formula:



, the compound of formula (I) being a  $\beta$ -carboline derivative when  $R_2$  and  $R_3$  joined together represent (i), a brassilexin derivative when  $R_2$  and  $R_3$  joined together represent (ii), and an N-substituted brassilexin derivative when  $R_2$  and  $R_3$  joined together represent (iii); X, Y, and Z may be the same or different and are selected from the group consisting of H, halogen,  $\text{NO}_2$ , and hydrocarbyl; and when  $R_2$  and  $R_3$  are joined together and represent part of a ring system, Y may also be isothiocyanate; with the proviso that formula (I) does not include a compound selected from the group of: 3-(N-methyl-thiohydantoin)-indole, 3-(N-phenyl-thiohydantoin)-indole, 3-(N-allyl-thiohydantoin)-indole, 5-methyl-brassinin, brassinin, brassilexin,  $\beta$ -carboline, 3-butyl- $\beta$ -carboline, 6-fluoro-3-carbomethoxy- $\beta$ -carboline, 6-isothiocyanate-3-carbomethoxy- $\beta$ -carboline, 3-propoxy- $\beta$ -carboline, 3-carboxy- $\beta$ -carboline, 3-carbopropoxy- $\beta$ -carboline, and 3-carbo-tert-butoxy- $\beta$ -carboline; and



formula (II): Z, wherein X, Y, and Z may be the same or different and are selected from the group consisting of H, halogen,  $\text{NO}_2$ , and hydrocarbyl; and with the proviso that formula (II) does not include 3-amino-2-naphthoic acid.

In one embodiment of the invention, the novel compounds of formula (I) may be further characterized by



the following additional provisos: 1) if  $R_3$  is substituent (a) and if  $R_A$  is other than H, then either  $R_B$  is hydrocarbyl, or at least one of X, Y, or Z is other than H,  $NO_2$ , COH,  $CH_2OH$ , or  $CH_3$ ; 2) if  $R_3$  is substituent (a) and  
5 if  $R_B$  is H, then either  $R_A$  is H, or at least one of X, Y, or Z is other than H,  $NO_2$ , COH,  $CH_2OH$ , or  $CH_3$ ; 3) if  $R_3$  is substituent (a) and if X, Y, and Z are all selected from the group consisting of H,  $NO_2$ , COH,  $CH_2OH$ , or  $CH_3$ , then either  $R_A$  is H, or  $R_B$  is hydrocarbyl.

10 In yet another embodiment of the invention, the novel compounds of formula (I) may be further characterized by the additional proviso that when  $R_3$  is (f), Y is a halogen.

In a particular embodiment of the invention, when  $R_3$   
15 is (f), X and Z are H, Y is Br,  $R_1$  is  $-CH_3$ ,  $R_2$  is H,  $R_A$  is  $-CH_3$  or  $-CH_2-CH=CH_2$ , and  $R_B$  is H.

The compounds of formulas (I) wherein  $R_3$  is selected from the group consisting of (a), (b), and (f) and formula (II) are believed to competitively inhibit IDO  
20 activity, while the compounds of formulas (I) wherein  $R_3$  is selected from the group consisting of (c), (d), and (e) or  $R_2$  and  $R_3$  are joined to form one of the group consisting of (i), (ii), and (iii) likely inhibit IDO activity noncompetitively.

25 The present invention also provides pharmaceutical compositions comprising at least one of the IDO inhibitors having a formula selected from formulas (I) and (II) in a pharmaceutically acceptable carrier. Such  
30 a pharmaceutical composition may be administered, in a therapeutically effective amount, to a patient in need thereof for the treatment of cancer.

Moreover, the present invention provides a method for the treatment of cancer by administering to a

patient, in need thereof, a therapeutically effective amount of at least one IDO inhibitor having the formula selected from formulas (I) and (II).

Cancers that may be treated using the present  
5 protocol include, but are not limited to: cancers of the prostate, colorectum, pancreas, cervix, stomach, endometrium, brain, liver, bladder, ovary, testis, head, neck, skin (including melanoma and basal carcinoma), mesothelial lining, white blood cell (including lymphoma  
10 and leukemia) esophagus, breast, muscle, connective tissue, lung (including small-cell lung carcinoma and non-small-cell carcinoma), adrenal gland, thyroid, kidney, or bone; glioblastoma, mesothelioma, renal cell carcinoma, gastric carcinoma, sarcoma, choriocarcinoma,  
15 cutaneous basocellular carcinoma, and testicular seminoma.

### III. Combinatorial Therapies for the Treatment of Cancer

The present invention also provides methods for  
20 tumor suppression. In accordance with the present invention, it has been discovered that the combination of an IDO inhibitor with a signal transduction inhibitor (STI) act synergistically to suppress tumor growth. Accordingly, the present invention provides a  
25 pharmaceutical composition for the treatment of cancer in a patient comprising at least one IDO inhibitor and at least one STI in a pharmaceutically acceptable carrier. Also provided is a method for treating cancer in a  
30 patient by administering an effective amount of at least one IDO inhibitor in combination with at least one STI. Suitable IDO inhibitors include any compound which exhibits IDO inhibitory activity including compounds having a formula selected from formulas (I) and (II). Suitable STIs, as noted hereinabove, include, but are not

limited to: (i) bcr/abl kinase inhibitors such as, for example, STI 571 (Gleevec); (ii) epidermal growth factor (EGF) receptor inhibitors such as, for example, kinase inhibitors (Iressa, SSI-774) and antibodies (Imclone: C225 [Goldstein et al. (1995), *Clin Cancer Res.* 1:1311-1318], and Abgenix: ABX-EGF); (iii) her-2/neu receptor inhibitors such as, for example, Herceptin™ (trastuzumab) and farnesyl transferase inhibitors (FTI) such as, for example, L-744,832 (Kohl et al. (1995), *Nat Med.* 1(8):792-797); (iv) inhibitors of Akt family kinases or the Akt pathway, such as, for example, rapamycin (see, for example, Sekulic et al. (2000) *Cancer Res.* 60:3504-3513); (v) cell cycle kinase inhibitors such as, for example, flavopiridol and UCN-01 (see, for example, Sausville (2003) *Curr. Med. Chem. Anti-Canc Agents* 3:47-56); and (vi) phosphatidyl inositol kinase inhibitors such as, for example, LY294002 (see, for example, Vlahos et al. (1994) *J. Biol. Chem.* 269:5241-5248).

In a specific embodiment of the present invention, the at least one IDO inhibitor and at least one STI may be administered to the patient concurrently or sequentially. In other words, the at least one IDO inhibitor may be administered first, the at least one STI may be administered first, or the at least one IDO inhibitor and the at least one STI may be administered at the same time. Additionally, when more than one IDO inhibitor and/or STI is used, the compounds may be administered in any order.

Cancers that may be treated using the present combinatorial protocol include, but are not limited to: cancers of the prostate, colorectum, pancreas, cervix, stomach, endometrium, brain, liver, bladder, ovary, testis, head, neck, skin (including melanoma and basal carcinoma), mesothelial lining, white blood cell

(including lymphoma and leukemia) esophagus, breast, muscle, connective tissue, lung (including small-cell lung carcinoma and non-small-cell carcinoma), adrenal gland, thyroid, kidney, or bone; glioblastoma, mesothelioma, renal cell carcinoma, gastric carcinoma, sarcoma, choriocarcinoma, cutaneous basocellular carcinoma, and testicular seminoma.

In addition to IDO, other molecules are known to be involved in immunomodulation. These other molecules may also be potential targets for suppressing tumor growth in cancer patients. Accordingly, the present invention also provides combinatorial methods of treating cancer patients by the administration of at least one immunomodulator other than an IDO inhibitor in conjunction with at least one chemotherapeutic agent. Suitable immunomodulators that may be used in the present invention include, without limitation: costimulatory molecules, such as, CD40L, B7, and B7RP1; activating monoclonal antibodies (mAbs) to costimulatory receptors, such as, ant-CD40, anti-CD38, anti-ICOS, and 4-1BB ligand; dendritic cell antigen loading (in vitro or in vivo); dendritic cell cancer vaccine; cytokines/chemokines, such as, IL1, IL2, IL12, IL18, ELC/CCL19, SLC/CCL21, MCP-1, IL-4, IL-18, TNF, IL-15, MDC, IFN $\alpha$ /b, M-CSF, IL-3, GM-CSF, IL-13, and anti-IL-10; bacterial lipopolysaccharides (LPS); and immune-stimulatory oligonucleotides (e.g., poly CpG DNA (see, for example, Verthelyi and Zeuner (2003) Tr. Immunol. 24:519-522)). Suitable chemotherapeutic agents include, but are not limited to, cytotoxic chemotherapeutic agents and signal transduction inhibitors (STIs).

In accordance with the present invention, it has also been discovered that the combination of an IDO inhibitor with a chemotherapeutic agent act

synergistically to suppress tumor growth. Accordingly, the present invention provides a pharmaceutical composition for the treatment of cancer in a patient comprising at least one IDO inhibitor and at least one  
5 chemotherapeutic agent in a pharmaceutically acceptable carrier. Also provided is a method for treating cancer in a patient by administering an effective amount of at least one IDO inhibitor in combination with at least one chemotherapeutic agent. Suitable IDO inhibitors include  
10 any compound which exhibits IDO inhibitory activity including compounds having a formula selected from formulas (I) and (II). Suitable chemotherapeutic agents, as noted hereinabove, include, but are not limited to: paclitaxel (Taxol®), cisplatin, docetaxol,  
15 carboplatin, vincristine, vinblastine, methotrexate, cyclophosphamide, CPT-11, 5-fluorouracil (5-FU), gemcitabine, estramustine, carmustine, adriamycin (doxorubicin), etoposide, arsenic trioxide, irinotecan, and epothilone derivatives.

20 In a specific embodiment of the present invention, the at least one IDO inhibitor and at least one chemotherapeutic agent may be administered to the patient concurrently or sequentially. In other words, the at least one IDO inhibitor may be administered first, the at  
25 least one chemotherapeutic agent may be administered first, or the at least one IDO inhibitor and the at least one chemotherapeutic agent may be administered at the same time. Additionally, when more than one IDO inhibitor and/or chemotherapeutic agent is used, the  
30 compounds may be administered in any order.

Cancers that may be treated using the present combinatorial protocol include, but are not limited to: cancers of the prostate, colorectum, pancreas, cervix, stomach, endometrium, brain, liver, bladder, ovary,

testis, head, neck, skin (including melanoma and basal carcinoma), mesothelial lining, white blood cell (including lymphoma and leukemia) esophagus, breast, muscle, connective tissue, lung (including small-cell lung carcinoma and non-small-cell carcinoma), adrenal gland, thyroid, kidney, or bone; glioblastoma, mesothelioma, renal cell carcinoma, gastric carcinoma, sarcoma, choriocarcinoma, cutaneous basocellular carcinoma, and testicular seminoma.

#### IV. Combinatorial Therapy for the Treatment of Chronic Viral Infections

The present invention further provides a pharmaceutical composition for the treatment of a chronic viral infection in a patient comprising at least one IDO inhibitor, at least one cancer therapeutic drug in a pharmaceutically acceptable carrier, and, optionally, at least one antiviral agent. Also provided is a method for treating a chronic viral infection in a patient by administering an effective amount the composition just described.

Suitable IDO inhibitors include any compound which exhibits IDO inhibitory activity including compounds having a formula selected from formulas (I) and (II).

Suitable chemotherapeutic agents are any compounds that exhibit anticancer activity including, but not limited to: alkylating agents (e.g., nitrogen mustards such as chlorambucil, cyclophosphamide, isofamide, mechlorethamine, melphalan, and uracil mustard; aziridines such as thiotepa; methanesulphonate esters such as busulfan; nitroso ureas such as carmustine, lomustine, and streptozocin; platinum complexes such as cisplatin and carboplatin; bioreductive alkylators such as mitomycin, procarbazine, dacarbazine and altretamine);

DNA strand-breakage agents (e.g., bleomycin);  
topoisomerase II inhibitors (e.g., amsacrine,  
daunorubicin, idarubicin, mitoxantrone,  
doxorubicin, etoposide, and teniposide); DNA minor groove  
5 binding agents (e.g., plicamycin); antimetabolites (e.g.,  
folate antagonists such as methotrexate and trimetrexate;  
pyrimidine antagonists such as fluorouracil,  
fluorodeoxyuridine, CB3717, azacitidine, cytarabine, and  
floxuridine; purine antagonists such as mercaptopurine,  
10 6-thioguanine, fludarabine, pentostatin; asparaginase; and  
ribonucleotide reductase inhibitors such as hydroxyurea);  
tubulin interactive agents (e.g., vincristine,  
vinblastine, and paclitaxel (Taxol)); hormonal agents  
(e.g., estrogens; conjugated estrogens; ethinyl  
15 estradiol; diethylstilbesterol; chlortrianisen;  
idenestrol; progestins such as hydroxyprogesterone  
caproate, medroxyprogesterone, and megestrol; and  
androgens such as testosterone, testosterone propionate,  
fluoxymesterone, and methyltestosterone); adrenal  
20 corticosteroids (e.g., prednisone, dexamethasone,  
methylprednisolone, and prednisolone); leutinizing  
hormone releasing agents or gonadotropin-releasing  
hormone antagonists (e.g., leuprolide acetate and  
goserelin acetate); and antihormonal antigens (e.g.,  
25 tamoxifen, antiandrogen agents such as flutamide; and  
antiadrenal agents such as mitotane and  
aminoglutethimide). Preferably, the chemotherapeutic  
agent is selected from the group consisting of:  
paclitaxel (Taxol®), cisplatin, docetaxol, carboplatin,  
30 vincristine, vinblastine, methotrexate, cyclophosphamide,  
CPT-11, 5-fluorouracil (5-FU), gemcitabine, estramustine,  
carmustine, adriamycin (doxorubicin), etoposide, arsenic  
trioxide, irinotecan, and epothilone derivatives.

Suitable antiviral agents include, without limitation: acyclovir; gangcyclovir; foscarnet; ribavirin; and antiretrovirals such as, for example, nucleoside analogue reverse transcriptase inhibitors (e.g., azidothymidine (AZT), ddI, ddC, 3TC, d4T), non-nucleoside reverse transcriptase inhibitors (e.g., efavirenz, nevirapine), nucleotide analogue reverse transcriptase inhibitors, and protease inhibitors.

In a specific embodiment of the present invention, the at least one IDO inhibitor and at least one chemotherapeutic agent may be administered to the patient concurrently or sequentially. In other words, the at least one IDO inhibitor may be administered first, the at least one chemotherapeutic agent may be administered first, or the at least one IDO inhibitor and the at least one STI may be administered at the same time. Additionally, when more than one IDO inhibitor and/or chemotherapeutic agent is used, the compounds may be administered in any order. Similarly, the antiviral agent may also be administered at any point.

The compounds of this combination treatment may also be administered for localized infections. Specifically, the at least one IDO inhibitor, at least one chemotherapeutic agent, and, optionally, at least one antiviral agent may be administered to treat skin infections such as shingles and warts. The compounds may be administered in any pharmaceutically acceptable topical carrier including, without limitation: gels, creams, lotions, ointments, powders, aerosols and other conventional forms for applying medication to the skin.

Chronic viral infections that may be treated using the present combinatorial treatment include, but are not limited to, diseases caused by: hepatitis C virus (HCV), human papilloma virus (HPV), cytomegalovirus (CMV),



herpes simplex virus (HSV), Epstein-Barr virus (EBV), varicella zoster virus, coxsackie virus, human immunodeficiency virus (HIV).

Notably, parasitic infections (e.g. malaria) may also be treated by the above methods wherein compounds known to treat the parasitic conditions are optionally added in place of the antiviral agents.

#### V. Administration of Pharmaceutical Compositions and Compounds

The pharmaceutical compositions of the present invention can be administered by any suitable route, for example, by injection, by oral, pulmonary, nasal or other modes of administration. In general, pharmaceutical compositions of the present invention, comprise, among other things, pharmaceutically acceptable diluents, preservatives, solubilizers, emulsifiers, adjuvants and/or carriers. Such compositions can include diluents of various buffer content (e.g., Tris-HCl, acetate, phosphate), pH and ionic strength; and additives such as detergents and solubilizing agents (e.g., Tween 80, Polysorbate 80), anti-oxidants (e.g., ascorbic acid, sodium metabisulfite), preservatives (e.g., Thimersol, benzyl alcohol) and bulking substances (e.g., lactose, mannitol). The compositions can be incorporated into particulate preparations of polymeric compounds such as polylactic acid, polyglycolic acid, etc., or into liposomes. Such compositions may influence the physical state, stability, rate of *in vivo* release, and rate of *in vivo* clearance of components of a pharmaceutical composition of the present invention. See, e.g., Remington's Pharmaceutical Sciences, 18th Ed. (1990, Mack Publishing Co., Easton, PA 18042) pages 1435-1712 which are herein incorporated by reference. The pharmaceutical

composition of the present invention can be prepared, for example, in liquid form, or can be in dried powder form (e.g., lyophilized).

In yet another embodiment, the pharmaceutical compositions of the present invention can be delivered in a controlled release system, such as using an intravenous infusion, an implantable osmotic pump, a transdermal patch, liposomes, or other modes of administration. In a particular embodiment, a pump may be used (see Langer, supra; Sefton, CRC Crit. Ref. Biomed. Eng. (1987) 14:201; Buchwald et al., Surgery (1980) 88:507; Saudek et al., N. Engl. J. Med. (1989) 321:574). In another embodiment, polymeric materials may be employed (see Medical Applications of Controlled Release, Langer and Wise (eds.), CRC Press: Boca Raton, Florida (1974); Controlled Drug Bioavailability, Drug Product Design and Performance, Smolen and Ball (eds.), Wiley: New York (1984); Ranger and Peppas, J. Macromol. Sci. Rev. Macromol. Chem. (1983) 23:61; see also Levy et al., Science (1985) 228:190; During et al., Ann. Neurol. (1989) 25:351; Howard et al., J. Neurosurg. (1989) 71:105). In yet another embodiment, a controlled release system can be placed in proximity of the target tissues of the animal, thus requiring only a fraction of the systemic dose (see, e.g., Goodson, in Medical Applications of Controlled Release, supra, (1984) vol. 2, pp. 115-138). In particular, a controlled release device can be introduced into an animal in proximity to the site of inappropriate immune activation or a tumor. Other controlled release systems are discussed in the review by Langer (Science (1990) 249:1527-1533).

The following examples are provided to illustrate various embodiments of the present invention. These

examples are not intended to limit the invention in any way.

#### EXAMPLE 1:

##### 5                    **Synthesis of Thiohydantoin Derivatives**

Overview: Thiohydantoin derivatives, or compounds of formula (I) wherein  $R_3$  is group (a), were identified by screening commercially available tryptophan derivatives. N-Methyl ( $R_1=H$ ;  $R_A=CH_3$ ;  $R_B=H$ ;  $X,Y,Z=H$ ), N-allyl ( $R_1=H$ ;  $R_A=CH_2CH=CH_2$ ;  $R_B=H$ ;  $X,Y,Z=H$ ) and N-phenyl ( $R_1=H$ ;  $R_A=Ph$ ;  $R_B=H$ ;  $X,Y,Z=H$ ) thiohydantoin derivatives demonstrated greater than 50% inhibition and significant selectivity over the structurally distinct enzyme tryptophan 2,3-dioxygenase (TDO2). To further improve inhibitor potency, the focus of the present invention can be on substitution of the indole ring, a procedure which has enhanced potency of tryptophan derivatives in the past. In particular, literature reports indicate that N-1, C-5 and C-6 substitution of the indole ring will afford more potent inhibitors (Cady and Sono (1991) Arch. Biochem. Biophys., 291:326-33; Munn, D.H., et al. (1998) Science, 281:1191-3; Peterson, A.C., et al. (1994) Med. Chem. Res., 3:531-544; Southan, M.D., et al. (1996) Med. Chem. Res., 6: 343-352). Additionally, while C-4 halide derivatives have not been reported in the literature (Southan et al.), the instant invention has identified 5-bromo-4-chloroindoxyl 1,3-diacetate as a potent inhibitor (greater than 50% inhibition of IDO at 250  $\mu M$ ).

Synthesis: Thiohydantoin derivatives can be synthesized via an Erlenmeyer-Plochl azlactone-type condensation reaction between an indole-3-carboxaldehyde and thiohydantoin 1 (Figure 1; Djura and Faulkner (1980) J. Org. Chem., 45:735-7; Guella, G., et al. (1988) Helv. Chim. Acta, 71:773-82; Guella, G., et al. (1989) Helv.

Chim. Acta, 72:1444-50; Crawford and Little (1959) J. Chem. Soc., 729-731; Julian and Sturgis (1935) J. Am. Chem. Soc., 1126-28). A mixture of conjugated alkene stereoisomers 3 will likely result and these products can be tested as planar analogues of the thiohydantoins. Reduction of the conjugated alkene in 3 can be performed by nucleophilic reductants (e.g.  $\text{NaBH}_4$ ,  $\text{Li}(\text{s-Bu})_3\text{BH}$ , and  $[(\text{Ph}_3\text{P})\text{CuH}]_6$ ) as the presence of sulfur will prohibit more commonly used catalytic hydrogenation methods.

The thiohydantoins can be synthesized by the reaction of, for example, glycine methyl ester or glycine ethyl ester with alkyl isothiocyanates (Figure 1; Sim and Ganesan (1997) J. Org. Chem., 62:3230-35). Notably, this method of thiohydantoin synthesis allows for a variety of alkyl groups to be incorporated at the N-1 nitrogen atom via reductive amination (Sim and Ganesan (1997) J. Org. Chem., 62:3230-35) and N-3 nitrogen atom depending on the choice of isothiocyanate reagent.

Based on previous IDO inhibitor studies, a variety of halo-substituted indole-3-carboxaldehydes can be used in the condensation reactions with thiohydantoins. 5-Bromo- and 5-chloroindole-3-carboxaldehyde are commercially available. Substitution in the C-4 position of the indole-3-carboxaldehyde can be accomplished by thallation-halogenation of indole-3-carboxaldehydes 5 (Figure 2; Somei, M., et al. (1984) Heterocycles, 22(4):797-801; Ohta, T., et al. (1987) Heterocycles 26:2817-22; Somei, M., et al. (1985) Chem. Pharm. Bull. 33:3696-708). N-methylation of the indole-3-carboxaldehydes 6 can be performed by reacting with a dimethyl carbonate (Jiang, X., et al. (2001) Org. Proc. Res. Dev., 5:604-8).

Generation of 6-halo-indole-3-carboxaldehydes can proceed via 6-aminoindole, which is readily synthesized

from commercially available 2,4-dinitrotoluene via a  
Leimgruber-Batcho indole synthesis (Figure 3; Batcho and  
Leimgruber (1990) Org. Syn. Coll., 3:34). The 6-amino  
group can be protected as an acetamide. Vilsmeier  
5 formylation of the C-3 position can provide the 6-  
substituted indole-3-carboxaldehyde 10 (Smith, G. F.  
(1954) J. Chem. Soc., 3842-6; James and Snyder (1963)  
Org. Syn. Coll., 4:539). The presence of an electron-  
releasing acetamide group can enhance the efficiency of  
10 the Vilsmeier formylation. Methylation of the indole  
nitrogen can proceed as described previously. The 6-  
amino group can be deprotected under acid reflux  
conditions and then the amine 12 can be transformed into  
a halogen via Sandmeyer or Schiemann reaction (Somei, M.,  
15 et al. (1985) Chem. Pharm. Bull., 33:3696-708; Somei and  
Tsuchiya (1981) Chem. Pharm. Bull., 29:3145-57; Moriya,  
T., et al. (1975) Bull. Chem. Soc. Jpn., 48:2217-8) or  
oxidized to a nitro group with a peroxyacid (Pagano and  
Emmons, Org. Syn. Coll., 5:367). Problems with side  
20 reactions involving the 3-carboxaldehyde during the  
Sandmeyer, Schiemann or amine oxidation reactions can be  
overcome by altering the sequence to introduce the 3-  
carboxaldehyde and methylate at the N-1 position later.

Derivatives with substitution at both the 5 and 6  
25 positions can be synthesized via electrophilic aromatic  
substitution of 14 (Figure 4). Nitration of 1-methyl-  
indole-3-carboxaldehyde has reportedly afforded a 93%  
yield of a mixture of the 5-nitro and 6-nitro products  
(Da Settimo and Saettone (1965) Tetrahedron 21:1923-9).  
30 Use of the 6-acetamido derivative prevents nitration at  
the 6 position and further activates the 5 position to  
electrophilic aromatic substitution. In addition to  
nitration, bromination and chlorination may also be  
employed and thereby allow a variety of 5,6-disubstituted

indole-3-carboxaldehydes to be synthesized. In addition, thallation-halogenation (Somei, M., et al. (1984) *Heterocycles*, 22(4):797-801; Ohta, T., et al. (1987) *Heterocycles*, 26:2817-22; Somei, M., et al. (1985) *Chem. Pharm. Bull.*, 33:3696-708) of the 5,6-disubstituted indole-3-carboxaldehydes 15 permits functionalization of the C-4 position. Furthermore, following the methods elaborated in Scheme 3 (Figure 3), the C-4 acetamide group can be modified. When combined, these procedures allow complex polysubstituted indole-3-carboxaldehydes to be obtained in a highly efficient manner.

Notably, IDO demonstrates a preference for L-tryptophan (Peterson, A. C., et al. (1994) *Med. Chem. Res.*, 3:531-544), but will also accept D-tryptophan as a substrate (Sono, M. (1989) *Biochemistry*, 28:5400-7). Therefore, an enantiomerically pure product may not be absolutely required.

An alternative approach for the synthesis of thiohydantoin derivatives involves the condensation of 2 with the ethyl half ester of acetamidomalonic acid (Figure 5; Hengartner, U., et al. (1979) *J. Org. Chem.*, 44:3741-7). Hydrogenation with Wilkinson's catalyst generates 19 (Horwell, D.C., et al. (1994) *J. Org. Chem.*, 59:4418-23). Hydrolysis of the acetamide and ethyl ester affords the tryptophan analogue 20. Reaction with alkyl isothiocyanate affords the thiohydantoin product (Cejpek, K., et al. (2000) *J. Agric. Food. Chem.*, 48:3560-5; Mizrach and Polonska (1987) *Khim. Farm. Zh.*, 21:322-8), although it may be more efficient to perform the isothiocyanate reaction on the analogous amino ester (Sim and Ganesan (1997) *J. Org. Chem.*, 62:3230-35).

A potentially important benefit of the alternative path is the ability to obtain an enantiomerically enriched product. Several asymmetric hydrogenations of

related dehydrotryptophan compounds have been reported (Hengartner, U., et al. (1979) J. Org. Chem., 44:3741-7; Knowles, W.S., et al. (1975) J. Am. Chem. Soc., 97:2567-8). Therefore, substitution of the triphenylphosphine ligands of Wilkinson's catalyst with chiral phosphine ligands can result in stereoselective synthesis of the L isomer of the tryptophan analogue.

#### EXAMPLE 2:

##### 10                    **Synthesis of Brassinin Derivatives**

Overview: Brassinin and related phytoalexins have demonstrated anticancer properties, reportedly due to preventative effects achieved by carcinogen detoxification (Park and Pezzuto (2002) Cancer Metastasis Rev., 21:231-255; Mehta, R.G., et al. (1995) Carcinogenesis 16:399-404; Mehta, R.G., et al. (1994) Anticancer Research 14:1209-1213). Interestingly, brassilexin, a related phytoalexin, is reportedly a noncompetitive inhibitor of IDO (Peterson, A.C., et al. (1993) Med. Chem. Res., 3:473-482), whereas, preliminary work indicates brassinin is a competitive inhibitor of IDO. To date, there have been no studies of IDO inhibition with brassinin derivatives (i.e. compounds having the formula of formula (I) wherein R<sub>3</sub> is group (b) or brassilexin derivatives (i.e., compounds having the formula of formula (I) wherein R<sub>3</sub> and R<sub>2</sub> are joined to form (ii) or (iii)). The structural similarity of brassinin to tryptophan and its identification as a competitive inhibitor suggest derivatization similar to tryptophan-based inhibitors already reported.

*Synthesis:* Indole-3-carboxaldehydes discussed above (Figures 2-4) can be employed to generate brassinin derivatives, i.e., IDO inhibitors having the formula of formula (I) where R<sub>3</sub> is group (b). The indole-3-

carboxaldehydes 2 can be transformed into 3-aminomethyl derivatives 22 by reductive amination with hydroxylamine (Figure 6). The 3-aminomethylindole derivatives may be unstable (Kutschy, P., et al. (1991) Synlett, 289-290; Kutschy, P., et al. (1998) Tet. 54:3549-66) and therefore will immediately be treated with carbon disulfide and then methyl iodide to generate brassinin derivatives with substitution in the benzene ring (Takasugi, M., et al. (1988) Bull. Chem. Soc. Jpn. 61:285; Pedras, M.S.C., et al. (1992) Life Science Advances (Phytochemistry), 11:1; Mehta, R. G., et al. (1995) Carcinogenesis, 16(2):399-404).

### EXAMPLE 3:

#### Synthesis of $\beta$ -Carboline Derivatives

Overview:  $\beta$ -Carboline or norharman derivatives, i.e., compounds having the structure of formula (I) wherein  $R_3$  and  $R_2$  are joined to form (i); have been previously reported (Sono and Cady (1989) Biochemistry, 28:5392-5399; Peterson, A.C., et al. (1993) Med. Chem. Res., 3:473-482; Eguchi, N., et al. (1984) Arch. Biochem. Biophys., 232(2):602-609). However, analogues of the most active derivative, 3-butyl- $\beta$ -carboline, have not been reported. Notably, substitution at the C-6 position (-F and -N=C=S) of the  $\beta$ -carboline core yielded several similarly active derivatives, but analogues that combine the C-3 and C-6 substitution were not tested; nor were any other substitutions larger than the C-3 butyl of the  $\beta$ -carboline explored (Peterson, A.C., et al. (1993) Med. Chem. Res. 3:473-482).  $\beta$ -carboline derivatives with substitution at the C-5, 7, and 8 position have also not been reported. Of particular interest is the reported mechanism of action of  $\beta$ -carboline derivatives as non-competitive inhibitors, e.g., competition with oxygen for



a binding site on the heme iron of the ferrous enzyme (Sono and Cady (1989) Biochemistry, 28:5392-5399).

Synthetic: The commercial availability of ethyl  $\beta$ -carboline-3-carboxylate **24** makes chemical modifications of this structure attractive for the synthesis of  $\beta$ -carboline derivatives (Figure 7). Nitration (Settimj, G., et al. (1988) J. Heterocyclic Chem. 25:1391-7) and iodination (Huth, A., et al. (1987) Tet. 43:1071-4) of the C-6 position of **24** has been accomplished in good yield. In addition, regioselective bromination (Ponce and Erra-Balsells (2001) J. Heterocyclic Chem. 38:1087-1095; Kardono, L. B. S., et al. (1991) J. Nat. Prod. 54:1360-7) and chlorination (Ponce, M.A., et al. (2003) J. Heterocyclic Chem. 40:419-426) procedures for  $\beta$ -carboline have also been reported. Reduction of the C-6 nitration product has been accomplished (Settimj, G., et al. (1988) J. Heterocyclic Chem. 25:1391-7) and the resulting amine has been used to direct bromination in the C-5 position of the  $\beta$ -carboline (Huth, A., et al. (1987) Tet. 43:1071-4). These reactions will facilitate the synthesis of C-5 and C-6 substituted  $\beta$ -carboline derivatives.

Derivatization of the C-3 position may be performed via the C-3 carboxaldehyde (Figure 8). Chemoselective reduction (or reduction to the alcohol and chemoselective oxidation) of the C-3 ester of **24** with DIBAL affords the C-3 carboxaldehyde. Addition of organolithium or Grignard reagents to the aldehyde affords alcohol derivatives **29**. Dehydration of the alcohol affords an alkene **30** that can be selectively reduced to yield the C-3 alkyl group of variable length depending on the choice of organometallic reagent. The alcohol and alkene intermediates may also be tested. Alternatively, Wittig reaction with the carboxaldehyde can produce the alkene.

An alternative approach to  $\beta$ -carboline derivatives involves cyclization and oxidation of tryptophan derivatives 32 (Figure 9; Haffer, G., et al. (1998) Heterocycles 48(5):993-8). The tryptophan derivatives discussed earlier (Figures 2-4) are amenable to cyclization to form the pyrido-indole structure. One advantage in using this procedure is the ability to create potential  $\beta$ -carboline based IDO inhibitors with functionalization in the C-7 position of  $\beta$ -carboline.

#### EXAMPLE 4:

##### Synthesis of 3-Amino-2-Naphthoic Acid Derivatives

**Overview:** In 1994, Peterson and co-workers reported 3-amino-2-naphthoic acid to be the most potent IDO inhibitor amongst a selection of tryptophan derivatives and related compounds (Peterson, A.C., et al. (1994) Med. Chem. Res. 3:531-544). Notably, there were no other derivatives of 3-amino-2-naphthoic acid reported in the article, nor, insofar as is known, have other tests with this structure of related derivatives been reported, despite the fact that the compound was more potent than the prototypical IDO inhibitor L-1-methyl-tryptophan (1MT). Since 3-amino-naphthoic acid is a competitive inhibitor, derivatives with substituents in the C-6, C-7, and C-8 positions of the naphthalene core (analogous to the C-4, C-5, and C-6 positions of the indole ring in tryptophan) can provide a superior IDO inhibitor.

**Synthesis:** Although there exist many methods to synthesize naphthalene compounds (de Koning, C.B., et al. (2003) Tet. 59:7-36), the synthesis of asymmetrically substituted naphthalenes with substituents in both rings remains a challenge. However, substituted 3-amino-2-naphthoic acids can be generated by first performing a benzannulation of substituted phthaldehydes (Kienzle, F.

(1980) *Helv. Chim. Acta* 63:2364-9; Singh and Khade (2002) *Bioconj. Chem.* 13:1286-91). The rapid synthesis of 3-amino-7-bromo-2-naphthoic acid 36 demonstrates the efficiency of this methodology (Figure 10).

5       The phthaldehyde 34 can be synthesized from 4-bromo-o-xylene or dimethyl phthalate. Ethyl 3-nitropropionate can be synthesized in one step from silver nitrite and ethyl 3-bromopropionate (Belikov, V.M. (1956) *Izv. Akad. Nauk., S.S.S.R.; Otdel. Khim. Nauk* 855-62; Chemical  
10   abstract (1957) 51:1837j). Condensation of the two affords a mixture of 6-bromo and 7-bromo 3-nitro-2-naphthoic acids 35. Reduction of the nitro group and hydrolysis of the ester affords the brominated 3-amino-2-naphthoic acids. The two regioisomers may be separated  
15   at some point in the synthesis, but both compounds can also be tested and the rapid manner in which the two are assembled likely outweighs any inconveniences of the regioisomeric mixture.

      The synthesis of additional substituted 3-amino-2-naphthoic acids allows for regiocontrol through the use  
20   of electron donating groups in place of the bromine (Figure 11). The phthaldehydes 37 can be generated from either 3,4-dimethylaniline or dimethyl phthalate. Electrophilic aromatic substitution of the amino or  
25   acetamido phthaldehyde precursors allows for the incorporation of groups in the C-6 position of the 3-amino-2-naphthoic acid. The benzannulation reaction is regioselective due to electron releasing acetamido group  
(Kienzle, F. (1980) *Helv. Chim. Acta* 63:2364-9). After  
30   benzannulation, the 7-acetamido or amino group allows for the efficient substitution at C-8 via electrophilic aromatic substitution reactions. Upon deprotection, the 7-amino group can undergo diazotization and Sandmeyer or Schiemann reaction to afford a variety of substituents.

Nitro group reduction and ester hydrolysis affords the 3-amino-2-naphthoic acid derivatives, i.e. compounds of formula (II) for testing.

As an alternative method, methoxy phthaldehydes 43 (Z=H) have demonstrated considerable control in condensation reactions with 3-nitropropionates (Figure 12; Kienzle, F. (1980) *Helv. Chim. Acta* 63:2364-9). The methoxy group directs electrophilic aromatic substitution reactions to ortho positions, however to transform the methoxy group into an amine and subsequently to a halogen, one can employ the Buchwald-Hartwig amination methodology (Wolfe, J.P., et al. (2000) *J. Org. Chem.* 65:1158-74; Wolfe and Buchwald (1997) *J. Org. Chem.* 62:1264-7; Louie, J., et al. (1997) *J. Org. Chem.* 62:1268-73; Hartwig, J.F. (1998) *Angew. Chem. Int. Ed. Engl.* 37:2046-67). Conversion of the methoxy to triflate 45 allows for amination, but carboxylic esters are not compatible with this process due to the strongly basic conditions. Consequently, 3-nitro-propanenitrile, which can be synthesized similarly to ethyl 3-nitropropionate but with 3-bromopropionitrile as starting material, can be used in the condensation and the cyano group can be hydrolyzed at the end of the synthesis.

25

**EXAMPLE 5:****Synthesis of Brassilexin Derivatives**

Brassilexin derivatives, compounds of formula (I), wherein R<sub>3</sub> and R<sub>2</sub> are joined to form (ii) or (iii) can be synthesized as shown in Figure 13 (see, for example, Devys and Barbier (1993) *Org. Prep. Proc. Int.* 25:344-346).

30

The synthesis scheme for substituted brasillexin derivatives is shown in Figures 14 and 15 (see, for example, Yeung et al. (2002) *Tet. Lett.* 43:5793-5795).

**EXAMPLE 6:****Synthesis of Cyclopropyl/Aziridinyl Tryptophan  
Derivatives**

5       The schemes for synthesizing cyclopropyl/aziridinyl  
tryptophan derivatives, compounds of formula (I) where  $R_3$   
is (c) or (d), are shown in Figures 16 and 17 (see, for  
example, Donati et al. (1996) Tetrahedron 52:9901-9908;  
Ishikawa et al. (2001) J. Am. Chem. Soc. 123:7705-7706).

10

**EXAMPLE 7:****Synthesis of Tethered Competitive/Noncompetitive  
Derivatives**

Figure 18 shows the scheme for the synthesis of  
15       tethered competitive/noncompetitive derivatives, i.e.  
compounds of formula (I) where  $R_3$  is (e).

**EXAMPLE 8:****Synthesis of Didehydro Derivatives**

20       Figure 1 shows a scheme for the synthesis of  
didehydro derivatives (compound 3 in Figure 1), i.e.  
compounds of formula (I) where  $R_3$  is (f). Example 1  
hereinabove provides a more detailed description of these  
compounds. Notably, both E and Z diastereomers are  
25       included in the instant invention.

**EXAMPLE 9:****Evaluation of Novel IDO Inhibitors****1. Biochemical Evaluation of Novel IDO Inhibitors:**

30       Overview: The biochemistry of IDO is well  
established, the enzyme having first been isolated in  
1963 (Higuchi, K., et al. (1963) Federation Proc. 22:243  
(abstr.); Shimizu, T., et al. (1978) J. Biol. Chem.  
253:4700-6). IDO is a monomeric, haem-containing

oxidoreductase with a molecular weight of approximately 41 kDa. To maintain the active ferrous form during *in vitro* catalysis, the enzyme requires methylene blue in combination with either superoxide or a reductant such as ascorbic acid. *In vivo*, it is suggested that a flavin or tetrahydrobiopterin may fulfill the role of the methylene blue dye and that there is likely to be a specific site for noncompetitive IDO inhibitors. Active enzyme can be produced by expressing the cloned, His-tagged version of the mammalian gene in bacteria (Littlejohn, T.K., et al. (2000) Prot. Exp. Purif. 19:22-29). This provides a convenient source of enzyme for biochemical analysis. A conventional biochemical assay for IDO activity based on spectrophotometric measurement of the production of kynurenine (the hydrolysis product of N-formyl-kynurenine) from tryptophan (Daubener, W., et al. (1994) J. Immunol. Methods 168:39-47) is used as the read-out for both the enzymatic and cell-based assays. The enzymatic assay provides a facile, high-throughput screen for identifying compounds with IDO inhibitory activity. This assay is also used to determine  $K_i$  values for specific compounds, which is important for the development of SAR (structure activity relationship) around the different compound series. The cell-based assay both confirms the IDO inhibitory activity of identified compounds, and addresses the initial issue of bioavailability - the ability of compounds to inhibit intracellular IDO. Specificity for IDO inhibition is examined in the cell-based assay by comparing against the other known tryptophan catabolizing enzyme tryptophan dioxygenase (TDO, also referred to in the literature as TDO2).

*Methods:* cDNA clones for both human and mouse IDO have been isolated and verified by sequencing. To

prepare enzyme for biochemical studies, C-terminal His-tagged IDO protein can be produced in *E.coli* using the IPTG-inducible pET5a vector system and isolated over a nickel column. The yield of the partially purified protein can be verified by gel electrophoresis and the concentration estimated by comparison to protein standards. To assay IDO enzymatic activity, a 96-well plate spectrophotometric assay for kynurenine production can be run following published procedures (Littlejohn, T.K., et al. (2000) Prot. Exp. Purif. 19:22-29; Takikawa, O., et al. (1988) J. Biol. Chem. 263:2041-8). To screen for evidence of IDO inhibitory activity, compounds can be evaluated at a single concentration of, for example, 200  $\mu$ M against 50 ng of IDO enzyme in 100  $\mu$ l reaction volumes with tryptophan added at increasing concentrations at, for example, 0, 2, 20, and 200  $\mu$ M. Kynurenine production can be measured at 1 hour. More extensive enzyme kinetic data can be collected for selected compounds of interest. Best fit  $K_i$  value determinations for 1MT ( $K_i$  = 34.6  $\mu$ M) and for MTH-Trp ( $K_i$  = 11.4  $\mu$ M) are shown in Figure 19. These data indicate that the thiohydantoin form directly inhibits IDO enzyme activity with about 3-fold greater potency than is achieved with 1MT.

The following procedure is an example of a cell-based assay. COS-1 cells are transiently transfected with a CMV promoter-driven plasmid expressing IDO cDNA using Lipofectamine 2000 (Invitrogen) as recommended by the manufacturer. A companion set of cells is transiently transfected with TDO-expressing plasmid. 48 hours post-transfection, the cells are apportioned into a 96-well format at  $6 \times 10^4$  cells per well. The following day the wells are washed and new media (phenol red free) containing 20  $\mu$ g/ml tryptophan is added together with inhibitor. The reaction is stopped at 5 hours and the

supernatant removed and spectrophotometrically assayed for kynurenine as described for the enzyme assay (Littlejohn, T.K., et al. (2000) Prot. Exp. Purif. 19:22-29; Takikawa, O., et al. (1988) J. Biol. Chem. 263:2041-8). To obtain initial confirmation of IDO activity, compounds can be evaluated at a single concentration of, for example, 100  $\mu$ M. More extensive dose escalation profiles can be collected for select compounds. EC50 value determinations for 1MT (EC50 = 267  $\mu$ M) and for MTH-Trp (EC50 = 12.9  $\mu$ M) are shown in Figure 20. These data indicate that MTH-Trp is substantially more potent against intracellular IDO (~20-fold) than is 1MT.

## 2. Pharmacodynamic/Pharmacokinetic Evaluation of Novel IDO Inhibitors:

**Overview:** Intraperitoneal administration of bacterial lipopolysaccharide (LPS) induces IDO activity in a variety of tissues resulting in the production of kynurenine and its release into the bloodstream (Figure 21). Peak kynurenine levels are reached one day after LPS administration (Takikawa, O., et al. (1986) J. Biol. Chem. 261:3648-53; Yoshida, H., et al. (1998) Cell 94:739-750). The pharmacodynamic assay described here is based on measuring serum levels of both kynurenine and tryptophan. Calculating the kynurenine/tryptophan ratio provides an estimate of IDO activity that is independent of baseline tryptophan levels (Fuchs, D., et al. (1991) Immunol. Lett. 28:207-11; Gasse, T., et al. (1994) Eur. J. Clin. Chem. Clin. Biochem. 32:685-9), and this approach to measuring IDO activity has been used frequently in humans. The principle advantage of this approach over the direct assessment of IDO enzymatic activity in tissue is that it is a non-invasive procedure permitting multiple samples to be collected from the same animal.



This enables IDO activity to be monitored in a single mouse at multiple time points. Tryptophan and kynurenine levels in the serum can be determined by HPLC analysis. The level of compound in serum can also be determined in the same HPLC run, thus permitting concurrent collection of pharmacokinetic data in a single experiment.

*Methods:* FVB MMTV-neu male mice at ~8-10 weeks of age can be used to perform the bulk of the pharmacodynamic analysis because their genetic background is the same as that of the MMTV-neu females mice that are used in the mammary gland tumor model to evaluate compound efficacy. *Salmonella minnesota* mutant strain R-595 (*S. minnesota* R) derived LPS has been shown to elicit the most sustained level of kynurenine induction in a comparative analysis of LPS preparations from different bacterial strains (Yoshida, R., et al.(1981) Arch. Biochem. Biophys. 212:629-37). Because it provides the widest window in which to evaluate the impact of IDO inhibitors, this preparation of LPS can be used in the pharmacodynamic assay. The minimum i.p. bolus dose of *S. minnesota* R LPS that elicits maximal IDO activity has been reported to be ~1 mg/kg and maximal IDO activation is reached by ~24 hr. following LPS treatment (Yoshida, R., et al.(1981) Arch. Biochem. Biophys. 212:629-37).

Serum levels of kynurenine and tryptophan can be quantitatively determined by HPLC analysis (Hwu, P., et al.(2000) J Immunol 164:3596-9; Widner, B., et al.(1997) Clin. Chem. 43:2424-6; see Figures 22A-D). By this procedure, serum concentrations of at least 1.25  $\mu$ M kynurenine and 3  $\mu$ M tryptophan are detected. In unchallenged FVB male mice the serum kynurenine is at or below the limit of detection and serum tryptophan is readily detectable at ~50  $\mu$ M (Figure 22B). 24 hr after LPS challenge, serum kynurenine is induced to ~6  $\mu$ M

(Figure 22C). 1MT in serum at a concentration of at least 5  $\mu$ M can also be effectively measured. This is well below the serum levels of ~100  $\mu$ M 1MT achieved with biologically efficacious dosing of 2 x 140 mg 1MT pellets (Figure 22D).

Compounds can be evaluated first by challenging with LPS and then subsequently administering a bolus dose of compound at the time that the serum kynurenine level plateaus. The kynurenine pool is rapidly turned over with a half-life in serum of less than 10 minutes (Bender, and McCreanor (1982) *Biochim. Biophys. Acta* 717:56-60; Takikawa, O., et al. (1986) *J. Biol. Chem.* 261:3648-53) so that pre-existing kynurenine is not expected to unduly mask the impact that IDO inhibition has on kynurenine production. The vehicle chosen for administration can depend in large part on the physical properties of each particular compound. The preferred vehicle is isotonic saline, but this requires that the compound be soluble in aqueous solution. It is anticipated that some compounds may not be sufficiently soluble, in which case the compounds can be administered as a suspension in Methocel®/Tween® (0.5% methylcellulose/1% Tween® 80).

Each experiment can include non-LPS-exposed mice (to determine baseline kynurenine levels against which to compare the other mice) and a set of LPS-exposed mice dosed with vehicle alone (to provide a positive control for IDO activation). Mice can be monitored following LPS administration and immediately euthanized if they present with signs of pronounced endotoxemia (ruffled fur, sluggishness). Each compound can initially be evaluated in mice at a single high i.p. bolus dose in the range of at least 100 mg/kg. Blood can be collected at defined time intervals (for example, 50  $\mu$ l/sample at 5, 15, 30

min., 1, 2, 4, 6, 8, and 24 hr. following compound administration) for HPLC analysis of kynurenine and tryptophan levels (pharmacodynamic analysis) as well as for the level of compound (pharmacokinetic analysis).

5 From the pharmacokinetic data the peak serum concentration of compound achieved can be determined as well as the estimated rate of clearance. By comparing the level of compound in serum relative to the kynurenine/tryptophan ratio at various time points, the  
10 effective  $IC_{50}$  for IDO inhibition *in vivo* can be roughly estimated.

Based on the results of the single dose study, a second dose escalation study can be conducted for every efficacious compound. The study can be, for example,  
15 aimed at a maximum dose that achieves 100% IDO inhibition at the peak concentration (if possible) in one cohort of mice and dose additional cohorts with concentrations that decrease in 3-fold stepwise increments to cover a 2  $\log_{10}$  range between the highest and lowest doses. Accurate  $IC_{50}$   
20 determinations can be extracted from these data. The same approach can be used to test for oral bioavailability of biologically active compounds, first testing each compound at a single maximum concentration p.o. bolus dose and then further evaluating those  
25 compounds that exhibit significant oral efficacy in a dose escalation study. To ensure that *in vivo* responsiveness is not subject to sexual dimorphism, a single i.p bolus dose experiment can be carried out in female mice at the calculated  $IC_{50}$  dose for each active  
30 compound.

**EXAMPLE 10:****Combinatorial Treatment of Tumors with an IDO Inhibitor  
and a Signal Transduction Inhibitor**

The MMTVneu transgenic "oncomouse" model of breast  
5 cancer was used to measure the effects of IDO inhibitors  
and STIs on tumor pathophysiology. The MMTVneu  
transgenic mouse develops aggressive tumors of the  
mammary gland that resemble poorly differentiated human  
ductal carcinomas. In the MMTVneu mouse model, breast  
10 cancer is initiated by tissue-specific expression of a  
mutant form of the HER-2/Neu gene that is activated  
frequently in aggressive human breast ductal carcinomas.  
HER-2 is a member of the EGF-R family of cell surface  
growth factor receptors. Myc is an obligate downstream  
15 effector for HER-2/Neu to drive cancer. Female MMTVneu  
"oncomice" are mated twice to initiate expression from  
the mouse mammary tumor virus (MMTV) promoter which  
drives transcription of the Neu/HER2 oncogene in mammary  
tissue. Mammary tumors arise with a penetrance of >90%  
20 in this model system by 5 months of age. MMTVneu  
"oncomice" bearing similarly sized tumors of ~150 mm<sup>3</sup> were  
randomly assigned to control or experimental treatment  
groups. Control mice were implanted with placebo  
time-release pellets (Innovative Research, Inc.,  
25 Sarasota, FL). Experimental groups of mice were (1)  
implanted with 1MT-containing time-release pellets, (2)  
treated with L-744,832, or (3) implanted with  
1MT-containing time-release pellets and treated with L-  
744,832. L-744,832, which mimics the CaaX motif to which  
30 the farnesyl group is added, is a potent and selective  
inhibitor of farnesyl transferase (FTI) (Kohl et al.,  
(1995) *Nat Med.* 1(8):747-748).

The time-release pellets are composed of a copolymer  
which is inert and gradually dissolves and breaks down to

a non-toxic substance that remains largely localized during the course of the experiment. Time-release pellets impregnated with 1MT release a dose of 10 mg/day for a period of up to 14 days as documented by the commercial vendor (Innovative Research, Inc., Sarasota, FL). Two pellets per mouse were implanted to deliver a total dose of 20 mg/day. Therefore, for a 25 g mouse the total dose is 800 mg/kg/day or 280 mg over a 14 day period. Steady-state levels were reached within 12-24 hours and are maintained throughout the entire period based on the manufacturer's specifications. The delivered dose is effective at eliciting allogenic conceptus rejection (A. Muller, J.B. DuHadaway, G.C. Prendergast, unpublished results) as described by Munn et al. (Science 281:1191-1193, 1998).

Time-release pellets were introduced subcutaneously on the backs of mice anesthetized by intramuscular injection of ketamin/rompun. Blunt dissection with a hemostat is used to separate the skin from the underlying muscle to create a subcutaneous pocket. One or two biodegradable slow release pellets were implanted within this pocket, rather than directly under the incision in order to prevent mechanical stress and wound dehiscence. The incision was then closed with wound clips. Based on the ability of female mice that have been implanted with placebo time-release pellets to carry pregnancies to term, distress from the procedure appears to be negligible.

The signal transduction inhibitors, e.g., FTI L-744,832 were prepared and delivered to the mice as described in Kohl et al. (Nature Med. (1995) 1:792-797).

Figure 23 summarizes the findings of the experiments to test the ability of 1MT to cooperate with FTI L-744,832 (as well as chemotherapeutic agents) to cause

regression of established tumors in MMTVneu "oncomouse" model. During the two week course of the experiment, an elevation of ~200% in the tumor volume of mock-treated control mice was observed. Treatment of mice with 20  
5 mg/day 1MT, delivered by subcutaneous time-release pellets, retarded but did not block tumor growth. Similarly, treatment of tumor-bearing mice with FTI L-744,832 retarded but did not block tumor growth. In contrast, the combination of 1MT plus L-744,832 treatment  
10 caused tumor regression in the model.

#### EXAMPLE 11:

##### Assaying novel IDO inhibitors

A variety of compounds were screened for their  
15 efficacy as IDO inhibitors. Certain compounds were screened in a biochemical assay as follows. IDO cDNAs were expressed in bacteria as his-tagged proteins and purified as previously described (Littlejohn et al. (2000) Prot. Exp. Purif. 19:22-29). Briefly, the  
20 purified IDO was incubated with substrate and varying amounts of the IDO inhibitor candidate. The fluorescence of the reaction mixture was measured to determine the efficacy of the candidate inhibitor because a product of the reaction, kynurenine, is fluorescent. The results of  
25 the in vitro biochemical screen are depicted in Figure 24.

The candidate compounds were also screened in a cell-based assay (for similar assay see Munn et al. (1999) J. Exp. Med. 189:1363-1372). Briefly, human  
30 293/Phoenix cells were transiently transfected with human IDO or TDO cDNA expression vectors. The candidate compounds were added to the transfected cells at various concentrations. Kynurenine was quantitated in tissue culture media using a fluorescence-based protocol. The

results from these experiments are presented in Figures 25-27.

As noted in these figures, the most potent inhibitors identified are a set of thiohydantoin derivatives of indoleamine. Figure 26 provides results using these particular inhibitors. The most potent of these inhibitors, methyl-TH-DL-trp, displayed an inhibition of IDO activity 2.7 times greater than 1MT at a concentration of 250  $\mu$ M (Figure 27).

In addition to the thiohydantoin derivatives of indoleamine, a group of natural products was screened. Interestingly, effective inhibitors from this group were compounds from foods with cancer preventative properties (e.g. cruciferous vegetables). Brassinin, a compound found in Chinese cabbage, was scored as the most potent compound among the natural products determined to be IDO inhibitors (Figure 25A).

The toxicity of certain screened compounds was also examined. As seen in Figure 28, most IDO inhibitory compounds are not intrinsically growth inhibitory or cytotoxic to neoplastically transformed breast or prostate cancer cells (Fig. 28).

#### EXAMPLE 12:

##### Combinatorial treatment of tumors with an IDO inhibitor and cytotoxic chemotherapeutic agent

The MMTVneu transgenic "oncomouse" model of breast cancer was also used to measure the effects of IDO inhibition and cytotoxic chemotherapeutic agents on tumor pathophysiology.

MMTVneu "oncomice" bearing similarly sized tumors of ~150 mm<sup>3</sup> were randomly assigned to control or experimental treatment groups. Control mice were implanted with

placebo time-release pellets (Innovative Research, Inc., Sarasota, FL). Experimental groups of mice were (1) implanted with 1MT-containing time-release pellets as described in Example 10, (2) treated with paclitaxel (Taxol®) or other cytotoxic agents, or (3) implanted with 1MT-containing time-release pellets and treated with paclitaxel or other cytotoxic agents.

Time-release pellets were introduced subcutaneously on the backs of mice as described previously.

The cytotoxic chemotherapeutic agents were prepared and delivered to the mice as follows. Paclitaxel was dissolved in equal volumes of absolute ethanol and the clinically-used solubilizing agent Cremophor® EL. The solution was sonicated up to 30 minutes and stored as a 20 mg/ml stock solution at 4°C for up to one week. Before use, this solution was diluted further at 1:5 with sterile physiological saline. Paclitaxel formulated in this manner was administered to mice by a single bolus intravenous (i.v.) injection into the tail vein. Mouse tails can be warmed to facilitate identification and injection of the vein. The maximum tolerated dose (MTD) of paclitaxel (13.3 mg/kg) was delivered five (5) times during the 2 week experiment on a thrice-weekly schedule (i.e., Friday - pellet implantation; Monday / Wednesday / Friday, Monday / Wednesday - paclitaxel inject; Friday - euthanize animals and harvest tumors for analysis). The MTD of cisplatin (1 mg/kg) was obtained as a clinical preparation in saline and delivered as a bolus i.v. injection on the same schedule. Control treated mice received only the Cremophor® EL vehicle formulation without paclitaxel.

Figure 29 and Table 1, in addition to Figure 23, summarize the findings of the experiments to test the ability of 1MT to cooperate with two cytotoxic agents to



cause regression of established tumors in MMTVneu "oncomouse" model. During the two week course of the experiment, an elevation of ~200% in the tumor volume of mock-treated control mice was observed. Treatment of mice with 20 mg/day 1MT, delivered by subcutaneous time-release pellets, retarded but did not block tumor growth. Similarly, treatment of tumor-bearing mice by intravenous injection of paclitaxel or cisplatin at the maximum-tolerated doses retarded but did not block tumor growth. In contrast, the combination of 1MT plus paclitaxel or cisplatin treatment caused tumor regression in the model. Similar results were observed with a reduction of paclitaxel to ~25% the maximum-tolerated dose (data not shown). Inasmuch as the cytotoxic agents employed in these studies are known to be toxic to the very T cells that the IDO inhibitors would allow to be recruited and activated, these results are unexpected in view of the prior art.

	Untreated	1MT only	Taxol only	1MT + Taxol	Cisplatin only	1MT + Cisplatin
Number of Mice	5	5	5	6	3	3
Number of Tumors	5	7	6	9	5	5
Mean	195.1	80.27	139.4	-30.2	91.35	-27.94
Std. Deviation	97.54	73.12	118.1	30.7	118.5	35.1
Std. Error	43.62	27.64	48.2	10.23	53	15.7
Minimum	122.2	0	20	-78.4	-26.53	-67.86
25% Percentile		25	40.25	-56.5		
Median	134.4	72.87	130.4	-23.44	40	-30.56
75% Percentile		130.4	247.6	-6.445		
Maximum	336.5	215	360.8	12.5	255.6	14.29
Lower 95% CI	73.95	12.65	15.52	-53.8	-55.79	-71.52
Upper 95% CI	316.2	147.9	263.3	-6.605	238.5	15.64

Table 1

Statistical analysis of the tumors of MMTVneu mice after various treatments. Numbers are provided as percent change in tumor volume as compared to the tumor

volume prior to treatment. Lower and upper 95% CI indicate lower and upper 95% confidence limits.

5 Histological and immunohistochemical analysis of tumor sections isolated from the control and experimental cohorts revealed dramatic changes only in the tumor tissues from the mice treated with the combinatorial regiment. Most notably, evidence of pronounced hemorrhage, apoptosis, and infiltration of CD3-positive T  
10 cells was seen in the mice that received the combinatorial regiment (data not shown). In conclusion, the combined application of 1MT with cytotoxic agents was efficacious in eliciting regression of established breast tumors in the MMTVneu "oncomouse" model system.

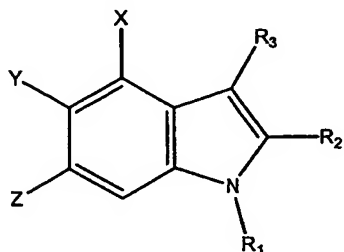
15

While certain of the preferred embodiments of the present invention have been described and specifically exemplified above, it is not intended that the invention be limited to such embodiments. Various modifications  
20 may be made thereto without departing from the scope and spirit of the present invention, as set forth in the following claims.

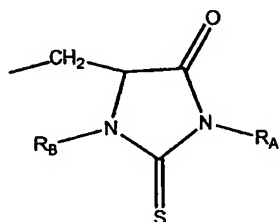
Several publications and patent documents are cited in the foregoing specification in order to more fully  
25 describe the state of the art to which this invention pertains. The disclosure of each of these citations is incorporated by reference herein.

## WHAT IS CLAIMED IS:

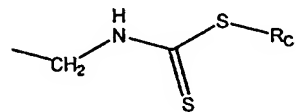
1. A compound having indoleamine 2,3 dioxygenase (IDO) inhibitory activity, said compound having a formula selected from the group consisting of formula (I):



, wherein  $R_1$  is H or lower alkyl;  $R_2$  is H;  $R_3$  is selected from the group consisting of: (a)

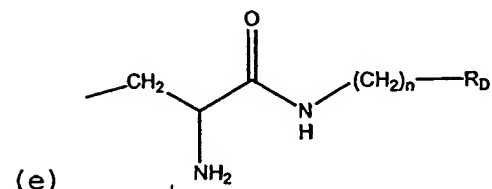
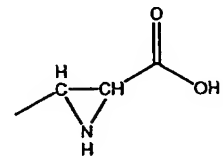
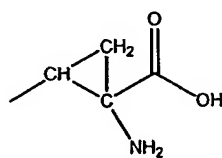


, wherein  $R_A$  and  $R_B$  are independently selected from the group of H and hydrocarbyl; (b)

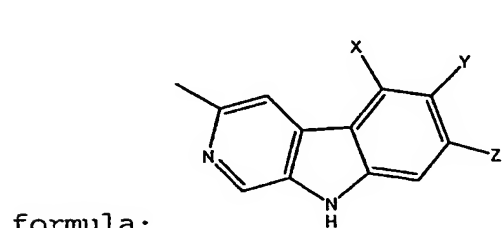


10 , wherein  $R_C$  is selected from the group

of H and hydrocarbyl; (c)

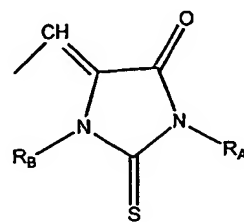


(e) , wherein  $n$  is a whole number from 1 to 10 and  $R_D$  is a carboline substituent of the

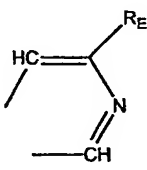
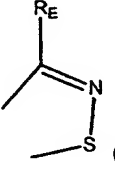
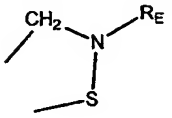


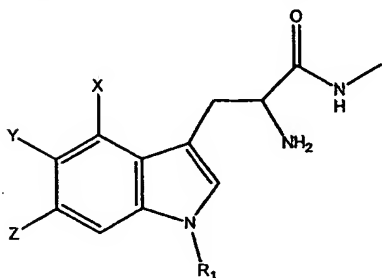
formula:

; and (f)



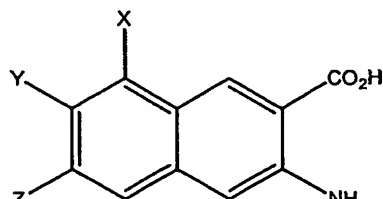
wherein  $R_A$  and  $R_B$  are independently selected from the group of H and hydrocarbyl; or  $R_2$  and  $R_3$  are joined together and represent part of a ring which is fused to the pyrrole moiety of formula (I) and which is selected

5 from the group of:  (i),  (ii), and  (iii), wherein  $R_E$  is a hydrocarbyl or alkyl-Q, Q representing a substituent of the formula:



, the compound of formula (I) being a  $\beta$ -carboline derivative when  $R_2$  and  $R_3$  joined together represent (i), a brassilexin derivative when  $R_2$  and  $R_3$  joined together represent (ii), and an N-substituted brassilexin derivative when  $R_2$  and  $R_3$  joined together represent (iii); X, Y, and Z may be the same or different and are selected from the group consisting of H, halogen,  $\text{NO}_2$ , and hydrocarbyl; and when  $R_2$  and  $R_3$  are joined together and represent part of a ring system, Y may also be isothiocyanate; with the proviso that formula (I) does not include a compound selected from the group of: 3-(N-methyl-thiohydantoin)-indole, 3-(N-phenyl-thiohydantoin)-indole, 3-(N-allyl-thiohydantoin)-indole, 5-methyl-brassinin, brassinin, brassilexin,  $\beta$ -carboline, 3-butyl- $\beta$ -carboline, 6-fluoro-3-carbomethoxy- $\beta$ -carboline, 6-isothiocyanate-3-carbomethoxy- $\beta$ -carboline, 3-propoxy- $\beta$ -

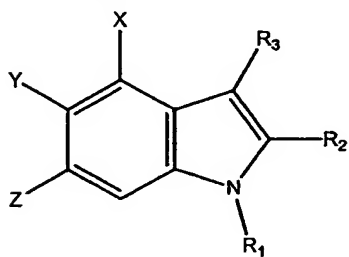
carboline, 3-carboxy- $\beta$ -carboline, 3-carbopropoxy- $\beta$ -carboline, and 3-carbo-tert-butoxy- $\beta$ -carboline; and



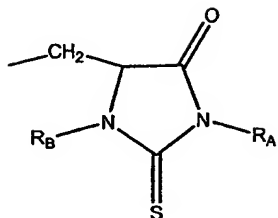
formula (II):  $Z$  , wherein X, Y, and Z may be the same or different and are selected from the group consisting of H, halogen,  $\text{NO}_2$ , and hydrocarbyl; and with the proviso that formula (II) does not include 3-amino-2-naphthoic acid.

2. A pharmaceutical composition for the treatment of cancer comprising an effective amount of the compound of claim 1 and a pharmaceutically acceptable carrier medium.

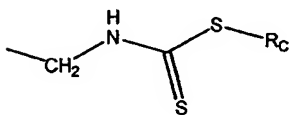
3. A method for the treatment of cancer in a patient in need of such treatment comprising administering an effective amount of a pharmaceutical composition comprising at least one indoleamine 2,3-dioxygenase (IDO) inhibitor, said at least one IDO inhibitor being selected from the group of compounds having the formula (I):



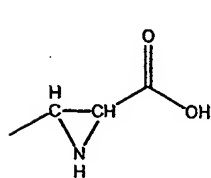
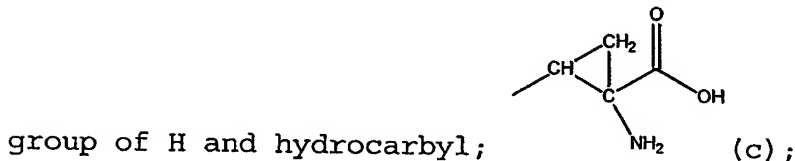
, wherein  $R_1$  is H or lower alkyl;  $R_2$  is H;  $R_3$  is selected from the group consisting of:



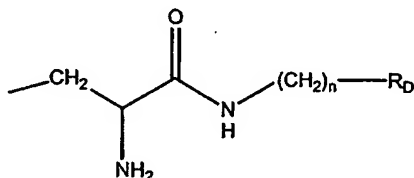
(a), wherein  $R_A$  and  $R_B$  are independently selected from the group of H and hydrocarbyl;



(b), wherein  $R_C$  is selected from the

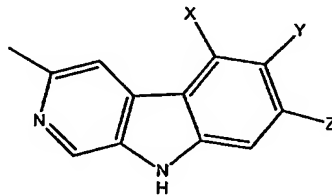


(d);



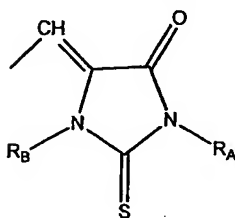
(e), wherein n

is a whole number from 1 to 10 and  $R_D$  is a carboline



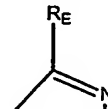
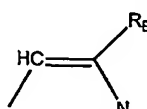
substituent of the formula:

; and (f)

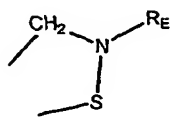


, wherein  $R_A$  and  $R_B$  are independently

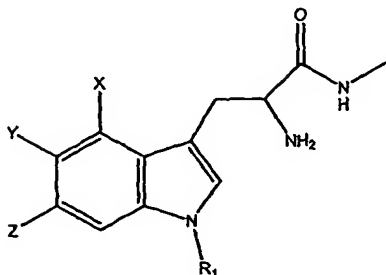
selected from the group of H and hydrocarbyl; or  $R_2$  and  $R_3$  are joined together and represent part of a ring which is fused to the pyrrole moiety of formula (I) and which is



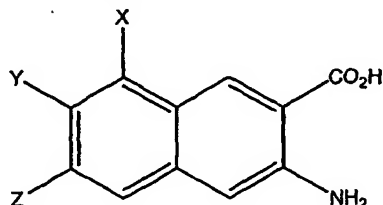
selected from the group of: (i), (ii), and



(iii), wherein  $R_E$  is a hydrocarbyl or alkyl-Q, Q representing a substituent of the formula:



, the compound of formula (I) being a  $\beta$ -carboline derivative when  $R_2$  and  $R_3$  joined together represent (i), a brassilexin derivative when  $R_2$  and  $R_3$  joined together represent (ii), and an N-substituted brassilexin derivative when  $R_2$  and  $R_3$  joined together represent (iii); X, Y, and Z may be the same or different and are selected from the group consisting of H, halogen,  $\text{NO}_2$ , and hydrocarbyl; and when  $R_2$  and  $R_3$  are joined together and represent part of a ring system, Y may also be isothiocyanate; with the proviso that formula (I) does not include a compound selected from the group of: 3-(N-methyl-thiohydantoin)-indole, 3-(N-phenyl-thiohydantoin)-indole, 3-(N-allyl-thiohydantoin)-indole, 5-methyl-brassinin, brassinin, brassilexin,  $\beta$ -carboline, 3-butyl- $\beta$ -carboline, 6-fluoro-3-carbomethoxy- $\beta$ -carboline, 6-isothiocyanate-3-carbomethoxy- $\beta$ -carboline, 3-propoxy- $\beta$ -carboline, 3-carboxy- $\beta$ -carboline, 3-carbopropoxy- $\beta$ -carboline, and 3-carbo-tert-butoxy- $\beta$ -carboline; or

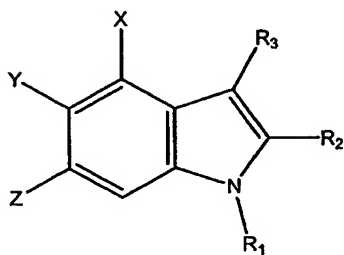


formula (II):  $Z$ , wherein X, Y, and Z may be the same or different and are selected from the group consisting of H, halogen,  $\text{NO}_2$ , and hydrocarbyl; and

with the proviso that formula (II) does not include 3-amino-2-naphthoic acid.

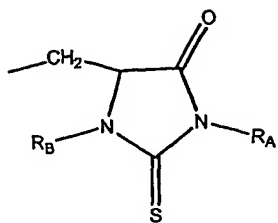
4. The method of claim 3, wherein said cancer is  
5 selected from the group consisting of cancers of the prostate, colorectum, pancreas, cervix, stomach, endometrium, brain, liver, bladder, ovary, testis, head, neck, skin (including melanoma and basal carcinoma), mesothelial lining, white blood cell (including lymphoma  
10 and leukemia) esophagus, breast, muscle, connective tissue, lung (including small-cell lung carcinoma and non-small-cell carcinoma), adrenal gland, thyroid, kidney, or bone; glioblastoma, mesothelioma, renal cell carcinoma, gastric carcinoma, sarcoma, choriocarcinoma,  
15 cutaneous basocellular carcinoma, and testicular seminoma.

5. A method for treating a cancer in a patient in need thereof comprising administering to said patient,  
20 concurrently or sequentially, an effective amount of at least one indoleamine 2,3-dioxygenase (IDO) inhibitor and at least one signal transduction inhibitor (STI), wherein said at least one IDO inhibitor is selected from the group of compounds having the formula of formula (I):

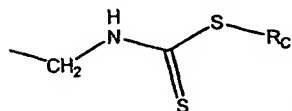


25 , wherein R<sub>1</sub> is H or lower alkyl; R<sub>2</sub> is H; R<sub>3</sub> is selected from the group consisting of:

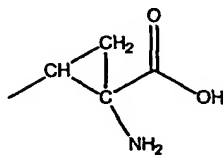




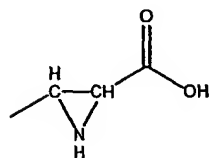
(a), wherein  $R_A$  and  $R_B$  are independently selected from the group of H and hydrocarbyl;



(b), wherein  $R_C$  is selected from the

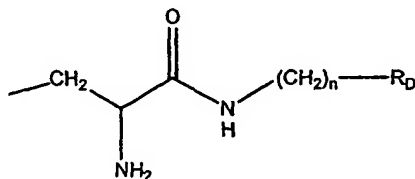


group of H and hydrocarbyl; (c);



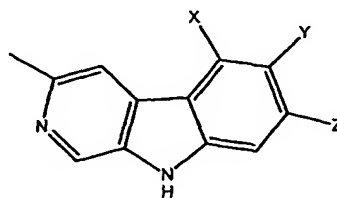
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(d);



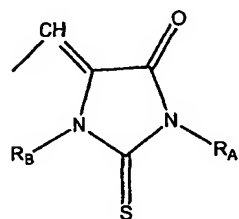
(e), wherein n

is a whole number from 1 to 10 and  $R_D$  is a carboline



substituent of the formula:

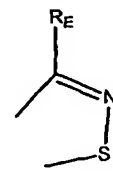
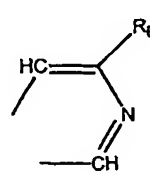
; and (f)



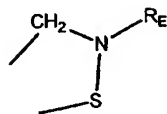
, wherein  $R_A$  and  $R_B$  are independently

selected from the group of H and hydrocarbyl; or  $R_2$  and  $R_3$  are joined together and represent part of a ring which is fused to the pyrrole moiety of formula (I) and which is

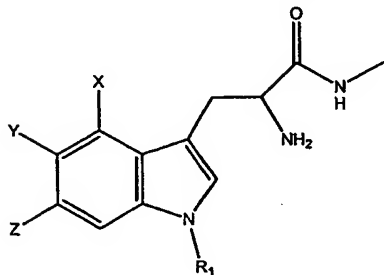
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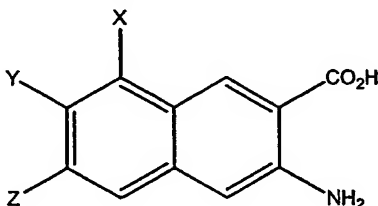
selected from the group of: (i), (ii), and



(iii), wherein  $R_E$  is a hydrocarbyl or alkyl-Q, Q representing a substituent of the formula:



, the compound of formula (I) being a  $\beta$ -carboline derivative when  $R_2$  and  $R_3$  joined together represent (i), a brassilexin derivative when  $R_2$  and  $R_3$  joined together represent (ii), and an N-substituted brassilexin derivative when  $R_2$  and  $R_3$  joined together represent (iii); X, Y, and Z may be the same or different and are selected from the group consisting of H, halogen,  $\text{NO}_2$ , and hydrocarbyl; and when  $R_2$  and  $R_3$  are joined together and represent part of a ring system, Y may also be isothiocyanate; with the proviso that formula (I) does not include a compound selected from the group of: 3-(N-methyl-thiohydantoin)-indole, 3-(N-phenyl-thiohydantoin)-indole, 3-(N-allyl-thiohydantoin)-indole, 5-methyl-brassinin, brassinin, brassilexin,  $\beta$ -carboline, 3-butyl- $\beta$ -carboline, 6-fluoro-3-carbomethoxy- $\beta$ -carboline, 6-isothiocyanate-3-carbomethoxy- $\beta$ -carboline, 3-propoxy- $\beta$ -carboline, 3-carboxy- $\beta$ -carboline, 3-carbopropoxy- $\beta$ -carboline, and 3-carbo-tert-butoxy- $\beta$ -carboline; and



formula (II):  $Z$  , wherein X, Y, and Z may be the same or different and are selected from the group consisting of H, halogen,  $\text{NO}_2$ , and hydrocarbyl; and

with the proviso that formula (II) does not include 3-amino-2-naphthoic acid.

5           6. The method of claim 5, wherein said at least one  
STI is selected from the group consisting of bcr/abl  
kinase inhibitors, epidermal growth factor (EGF) receptor  
inhibitors, her-2/neu receptor inhibitors, farnesyl  
transferase inhibitors (FTIs), inhibitors of Akt family  
kinases or the Akt pathway, and cell cycle kinase  
10       inhibitors.

          7. The method of claim 6, wherein said at least one  
STI is selected from the group consisting of STI 571,  
SSI-774, C225, ABX-EGF, trastuzumab, L-744,832,  
15       rapamycin, LY294002, flavopiridal, and UNC-01.

          8. The method of claim 7, wherein said at least one  
STI is L-744,832.

20           9. The method of claim 5, wherein said at least one  
IDO inhibitor and said at least one STI are administered  
concurrently.

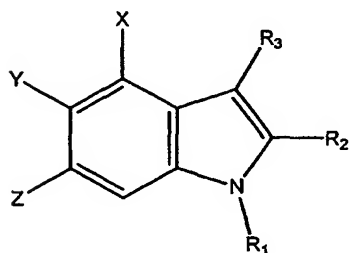
          10. The method of claim 5, wherein said at least  
25       one IDO inhibitor and said at least one STI are  
administered sequentially.

          11. The method of claim 10, wherein said at least  
one IDO inhibitor is administered before said at least  
30       one STI.

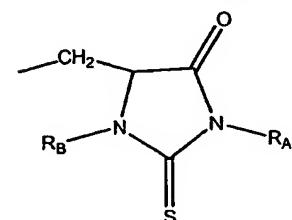
          12. The method of claim 10, wherein said at least  
one STI is administered before said at least one IDO  
inhibitor.

13. The method of claim 5, wherein said cancer is selected from the group consisting of cancers of the prostate, colorectum, pancreas, cervix, stomach,  
 5 endometrium, brain, liver, bladder, ovary, testis, head, neck, skin (including melanoma and basal carcinoma), mesothelial lining, white blood cell (including lymphoma and leukemia) esophagus, breast, muscle, connective  
 10 tissue, lung (including small-cell lung carcinoma and non-small-cell carcinoma), adrenal gland, thyroid, kidney, or bone; glioblastoma, mesothelioma, renal cell carcinoma, gastric carcinoma, sarcoma, choriocarcinoma, cutaneous basocellular carcinoma, and testicular seminoma.

14. A pharmaceutical composition for the treatment of a cancer, said composition comprising an effective amount of at least one indoleamine 2,3-dioxygenase (IDO) inhibitor and at least one signal transduction inhibitor  
 20 (STI) in a pharmaceutically acceptable carrier medium, wherein said at least one IDO inhibitor is selected from the group of compounds having the structure of formula (I):

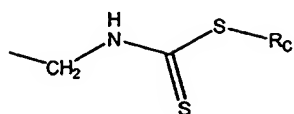


, wherein  $R_1$  is H or lower alkyl;  $R_2$  is



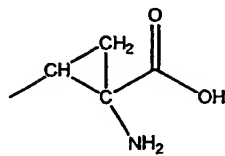
(a), wherein  $R_A$  and  $R_B$  are independently

selected from the group of H and hydrocarbyl;

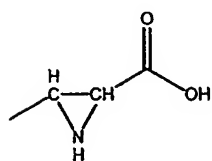


(b), wherein  $R_C$  is selected from the

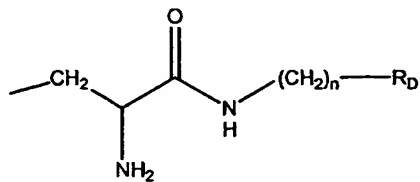
group of H and hydrocarbyl;



(c);

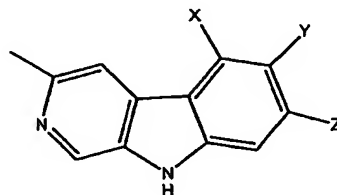


(d);



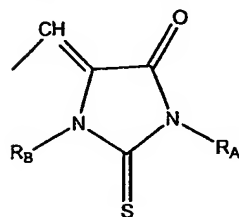
(e), wherein  $n$  is

5 a whole number from 1 to 10 and  $R_D$  is a carboline



substituent of the formula:

; and (f)

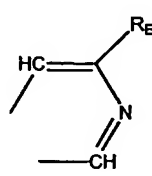


, wherein  $R_A$  and  $R_B$  are independently

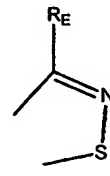
selected from the group of H and hydrocarbyl; or  $R_2$  and  $R_3$  are joined together and represent part of a ring which is fused to the pyrrole moiety of formula (I) and which is

10

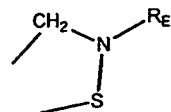
selected from the group of:



(i),

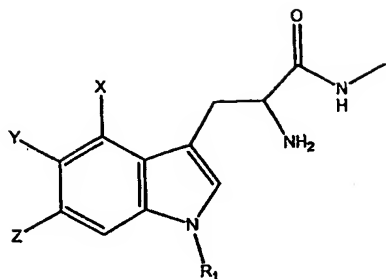


(ii), and

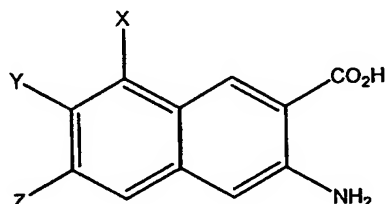


(iii), wherein  $R_E$  is a hydrocarbyl or alkyl-Q, Q

representing a substituent of the formula:



, the compound of formula (I) being a  $\beta$ -carboline derivative when  $R_2$  and  $R_3$  joined together represent (i), a brassilexin derivative when  $R_2$  and  $R_3$  joined together represent (ii), and an N-substituted brassilexin derivative when  $R_2$  and  $R_3$  joined together represent (iii); X, Y, and Z may be the same or different and are selected from the group consisting of H, halogen,  $\text{NO}_2$ , and hydrocarbyl; and when  $R_2$  and  $R_3$  are joined together and represent part of a ring system, Y may also be isothiocyanate; with the proviso that formula (I) does not include a compound selected from the group of: 3-(N-methyl-thiohydantoin)-indole, 3-(N-phenyl-thiohydantoin)-indole, 3-(N-allyl-thiohydantoin)-indole, 5-methyl-brassinin, brassinin, brassilexin,  $\beta$ -carboline, 3-butyl- $\beta$ -carboline, 6-fluoro-3-carbomethoxy- $\beta$ -carboline, 6-isothiocyanate-3-carbomethoxy- $\beta$ -carboline, 3-propoxy- $\beta$ -carboline, 3-carboxy- $\beta$ -carboline, 3-carbopropoxy- $\beta$ -carboline, and 3-carbo-tert-butoxy- $\beta$ -carboline; and



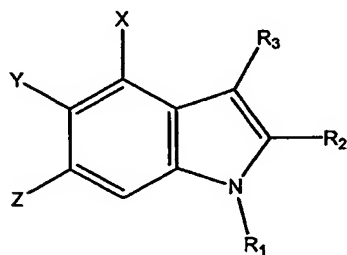
formula (II):  $Z$  , wherein X, Y, and Z may be the same or different and are selected from the group consisting of H, halogen,  $\text{NO}_2$ , and hydrocarbyl; and with the proviso that formula (II) does not include 3-amino-2-naphthoic acid.

15. The pharmaceutical composition of claim 14,  
wherein said at least one STI is selected from the group  
consisting of bcr/abl kinase inhibitors, epidermal growth  
factor (EGF) receptor inhibitors, her-2/neu receptor  
5 inhibitors, farnesyl transferase inhibitors (FTIs),  
inhibitors of Akt family kinases or the Akt pathway, and  
cell cycle kinase inhibitors.

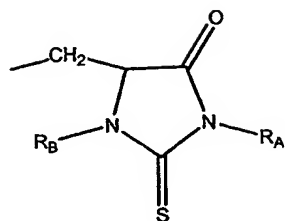
16. The pharmaceutical composition of claim 15,  
10 wherein said at least one STI is selected from the group  
consisting of STI 571, SSI-774, C225, ABX-EGF,  
trastuzumab, L-744,832, rapamycin, LY294002,  
flavopiridal, and UNC-01.

17. The pharmaceutical composition of claim 16,  
15 wherein said at least one STI is L-744,832.

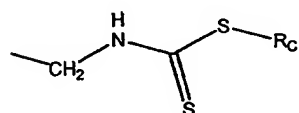
18. A method for treating a chronic viral infection  
in a patient in need thereof comprising administering to  
20 said patient, concurrently or sequentially, an effective  
amount of at least one indoleamine 2,3-dioxygenase (IDO)  
inhibitor and at least one chemotherapeutic agent,  
wherein said at least one IDO inhibitor is selected from  
the group of compounds having the formula of formula (I):



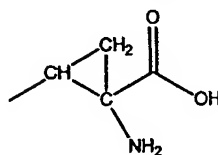
25 , wherein R<sub>1</sub> is H or lower alkyl; R<sub>2</sub> is H; R<sub>3</sub> is selected from the group consisting of:



(a), wherein  $R_A$  and  $R_B$  are independently selected from the group of H and hydrocarbyl;

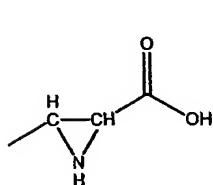


(b), wherein  $R_C$  is selected from the

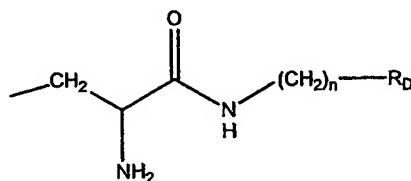


group of H and hydrocarbyl;

(c);

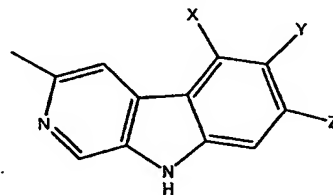


(d);



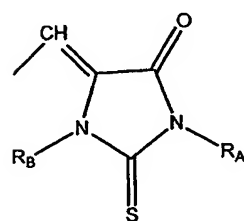
(e), wherein  $n$

is a whole number from 1 to 10 and  $R_D$  is a carboline



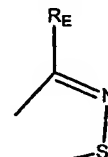
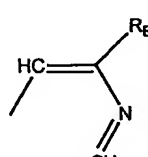
substituent of the formula:

; and (f)



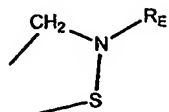
, wherein  $R_A$  and  $R_B$  are independently

selected from the group of H and hydrocarbyl; or  $R_2$  and  $R_3$  are joined together and represent part of a ring which is fused to the pyrrole moiety of formula (I) and which is

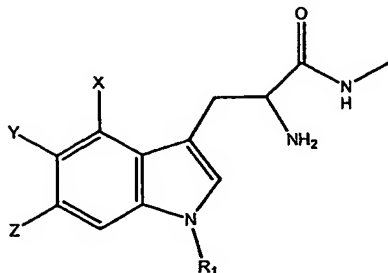


selected from the group of: (i), (ii), and

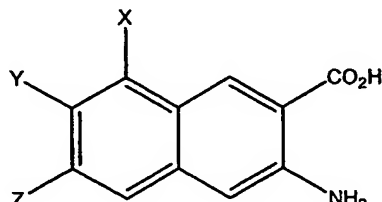




(iii), wherein  $R_E$  is a hydrocarbyl or alkyl-Q, Q representing a substituent of the formula:



, the compound of formula (I) being a  $\beta$ -carboline derivative when  $R_2$  and  $R_3$  joined together represent (i), a brassilexin derivative when  $R_2$  and  $R_3$  joined together represent (ii), and an N-substituted brassilexin derivative when  $R_2$  and  $R_3$  joined together represent (iii); X, Y, and Z may be the same or different and are selected from the group consisting of H, halogen,  $\text{NO}_2$ , and hydrocarbyl; and when  $R_2$  and  $R_3$  are joined together and represent part of a ring system, Y may also be isothiocyanate; with the proviso that formula (I) does not include a compound selected from the group of: 3-(N-methyl-thiohydantoin)-indole, 3-(N-phenyl-thiohydantoin)-indole, 3-(N-allyl-thiohydantoin)-indole, 5-methyl-brassinin, brassinin, brassilexin,  $\beta$ -carboline, 3-butyl- $\beta$ -carboline, 6-fluoro-3-carbomethoxy- $\beta$ -carboline, 6-isothiocyanate-3-carbomethoxy- $\beta$ -carboline, 3-propoxy- $\beta$ -carboline, 3-carboxy- $\beta$ -carboline, 3-carbopropoxy- $\beta$ -carboline, and 3-carbo-tert-butoxy- $\beta$ -carboline; and



formula (II):  $Z$ , wherein X, Y, and Z may be the same or different and are selected from the group consisting of H, halogen,  $\text{NO}_2$ , and hydrocarbyl; and

with the proviso that formula (II) does not include 3-amino-2-naphthoic acid.

5           19. The method of claim 18, wherein said at least one chemotherapeutic agent is selected from the group consisting of paclitaxel (Taxol®), cisplatin, docetaxol, carboplatin, vincristine, vinblastine, methotrexate, cyclophosphamide, CPT-11, 5-fluorouracil (5-FU),  
10           gemcitabine, estramustine, carmustine, adriamycin (doxorubicin), etoposide, arsenic trioxide, irinotecan, and epothilone derivatives.

          20. The method of claim 18, wherein said at least  
15           one IDO inhibitor and said at least one chemotherapeutic agent are administered concurrently.

          21. The method of claim 18, wherein said at least  
20           one IDO inhibitor and said at least one chemotherapeutic agent are administered sequentially.

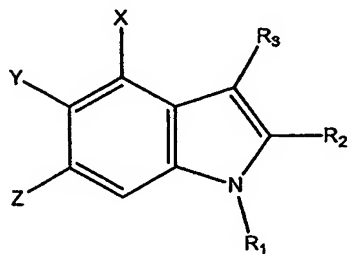
          22. The method of claim 21, wherein said at least  
25           one IDO inhibitor is administered before said at least one chemotherapeutic agent.

          23. The method of claim 21, wherein said at least  
          one chemotherapeutic agent is administered before said at least one IDO inhibitor.

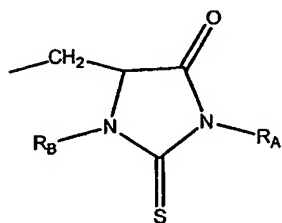
30           24. The method of claim 18, wherein said chronic viral infection is selected from the group consisting of: hepatitis C virus (HCV), human papilloma virus (HPV), cytomegalovirus (CMV), Epstein-Barr virus (EBV),

varicella zoster virus, coxsackie virus, human immunodeficiency virus (HIV).

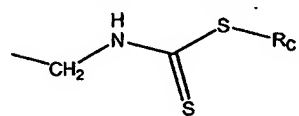
25. A pharmaceutical composition for the treatment of a chronic viral infection, said composition comprising an effective amount of at least one indoleamine 2,3-dioxygenase (IDO) inhibitor and at least one chemotherapeutic agent in a pharmaceutically acceptable carrier medium, wherein said at least one IDO inhibitor is selected from the group of compounds having the formula of formula (I):



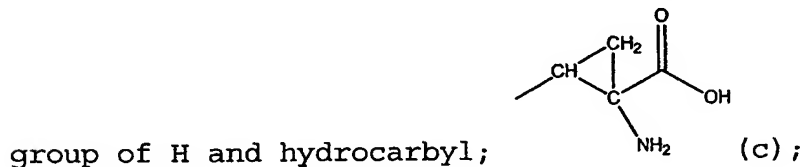
, wherein  $R_1$  is H or lower alkyl;  $R_2$  is H;  $R_3$  is selected from the group consisting of:



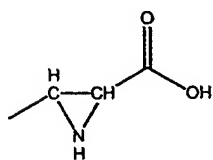
- (a), wherein  $R_A$  and  $R_B$  are independently selected from the group of H and hydrocarbyl;



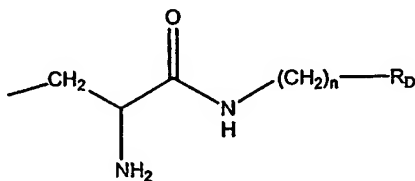
(b), wherein  $R_C$  is selected from the



group of H and hydrocarbyl;

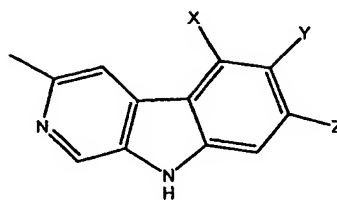


(d);



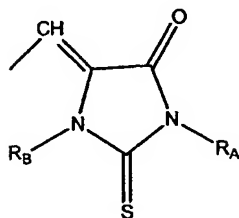
(e), wherein  $n$

is a whole number from 1 to 10 and  $R_D$  is a carboline



substituent of the formula:

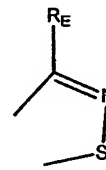
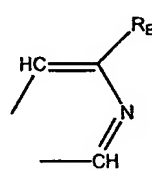
; and (f)



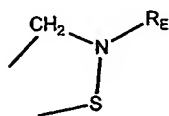
, wherein  $R_A$  and  $R_B$  are independently

selected from the group of H and hydrocarbyl; or  $R_2$  and  $R_3$

5 are joined together and represent part of a ring which is fused to the pyrrole moiety of formula (I) and which is

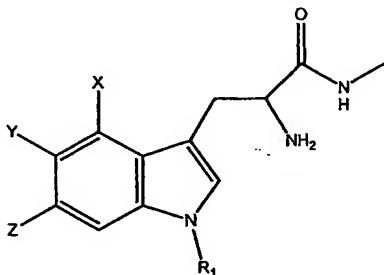


selected from the group of: (i), (ii), and



(iii), wherein  $R_E$  is a hydrocarbyl or alkyl-Q, Q

representing a substituent of the formula:



10 , the compound of formula (I) being

a  $\beta$ -carboline derivative when  $R_2$  and  $R_3$  joined together

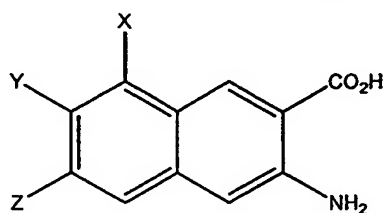
represent (i), a brassilexin derivative when  $R_2$  and  $R_3$

joined together represent (ii), and an N-substituted

brassilexin derivative when  $R_2$  and  $R_3$  joined together

15 represent (iii); X, Y, and Z may be the same or different and are selected from the group consisting of H, halogen,  $\text{NO}_2$ , and hydrocarbyl; and when  $R_2$  and  $R_3$  are joined

together and represent part of a ring system, Y may also be isothiocyanate; with the proviso that formula (I) does not include a compound selected from the group of: 3-(N-methyl-thiohydantoin)-indole, 3-(N-phenyl-thiohydantoin)-indole, 3-(N-allyl-thiohydantoin)-indole, 5-methyl-brassinin, brassinin, brassilexin,  $\beta$ -carboline, 3-butyl- $\beta$ -carboline, 6-fluoro-3-carbomethoxy- $\beta$ -carboline, 6-isothiocyanate-3-carbomethoxy- $\beta$ -carboline, 3-propoxy- $\beta$ -carboline, 3-carboxy- $\beta$ -carboline, 3-carbopropoxy- $\beta$ -carboline, and 3-carbo-tert-butoxy- $\beta$ -carboline; and

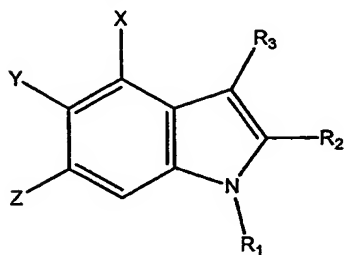


formula (II):  $Z$  , wherein X, Y, and Z may be the same or different and are selected from the group consisting of H, halogen, NO<sub>2</sub>, and hydrocarbyl; and with the proviso that formula (II) does not include 3-amino-2-naphthoic acid.

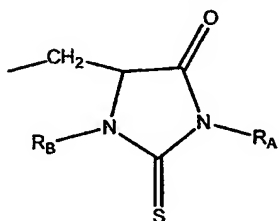
26. The composition of claim 25, wherein said at least one chemotherapeutic agent is selected from the group consisting of paclitaxel (Taxol®), cisplatin, docetaxol, carboplatin, vincristine, vinblastine, methotrexate, cyclophosphamide, CPT-11, 5-fluorouracil (5-FU), gemcitabine, estramustine, carmustine, adriamycin (doxorubicin), etoposide, arsenic trioxide, irinotecan, and epothilone derivatives.

27. A method for treating a cancer in a patient in need thereof comprising administering to said patient, concurrently or sequentially, an effective amount of at least one indoleamine 2,3-dioxygenase (IDO) inhibitor and at least one chemotherapeutic agents, wherein said at

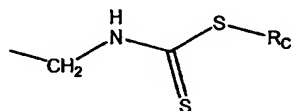
least one IDO inhibitor is selected from the group of compounds having the formula of formula (I):



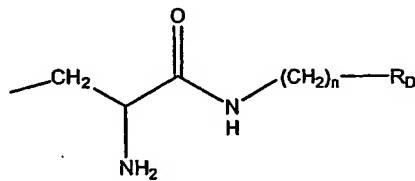
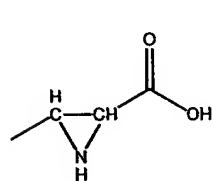
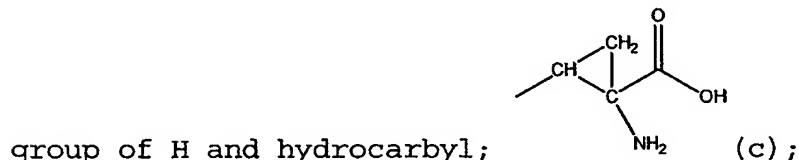
, wherein  $R_1$  is H or lower alkyl;  $R_2$  is H;  $R_3$  is selected from the group consisting of:



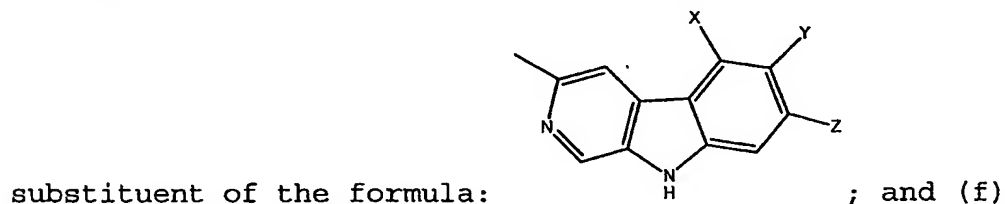
5 (a), wherein  $R_A$  and  $R_B$  are independently selected from the group of H and hydrocarbyl;

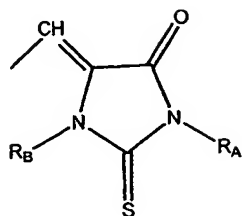


(b), wherein  $R_C$  is selected from the

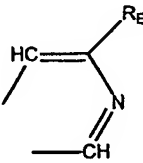
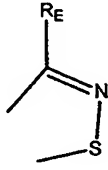


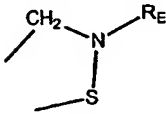
10 is a whole number from 1 to 10 and  $R_D$  is a carboline

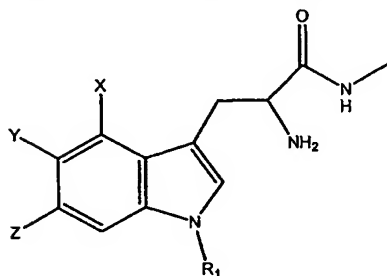




, wherein  $R_A$  and  $R_B$  are independently selected from the group of H and hydrocarbyl; or  $R_2$  and  $R_3$  are joined together and represent part of a ring which is fused to the pyrrole moiety of formula (I) and which is

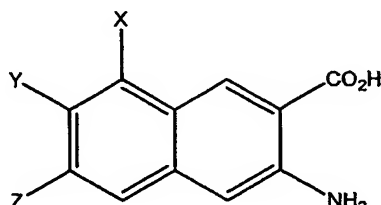
5 selected from the group of:  (i),  (ii), and

 (iii), wherein  $R_E$  is a hydrocarbyl or alkyl-Q, Q representing a substituent of the formula:



10 the compound of formula (I) being a  $\beta$ -carboline derivative when  $R_2$  and  $R_3$  joined together represent (i), a brassilexin derivative when  $R_2$  and  $R_3$  joined together represent (ii), and an N-substituted brassilexin derivative when  $R_2$  and  $R_3$  joined together represent (iii); X, Y, and Z may be the same or different and are selected from the group consisting of H, halogen,  $\text{NO}_2$ , and hydrocarbyl; and when  $R_2$  and  $R_3$  are joined together and represent part of a ring system, Y may also be isothiocyanate; with the proviso that formula (I) does not include a compound selected from the group of: 3-(N-methyl-thiohydantoin)-indole, 3-(N-phenyl-thiohydantoin)-indole, 3-(N-allyl-thiohydantoin)-indole, 5-methyl-brassinin, brassinin, brassilexin,  $\beta$ -carboline, 3-butyl-

$\beta$ -carboline, 6-fluoro-3-carbomethoxy- $\beta$ -carboline, 6-isothiocyanate-3-carbomethoxy- $\beta$ -carboline, 3-propoxy- $\beta$ -carboline, 3-carboxy- $\beta$ -carboline, 3-carbopropoxy- $\beta$ -carboline, and 3-carbo-tert-butoxy- $\beta$ -carboline; and



5 formula (II):  $Z$  , wherein X, Y, and Z may be the same or different and are selected from the group consisting of H, halogen, NO<sub>2</sub>, and hydrocarbyl; and with the proviso that formula (II) does not include 3-amino-2-naphthoic acid.

10

28. The method of claim 27, wherein said at least one chemotherapeutic agent is selected from the group consisting of paclitaxel (Taxol®), cisplatin, docetaxol, carboplatin, vincristine, vinblastine, methotrexate, 15 cyclophosphamide, CPT-11, 5-fluorouracil (5-FU), gemcitabine, estramustine, carmustine, adriamycin (doxorubicin), etoposide, arsenic trioxide, irinotecan, and epothilone derivatives.

20

29. The method of claim 28, wherein said at least one chemotherapeutic agent is paclitaxel.

25

30. The method of claim 27, wherein said at least one IDO inhibitor and said at least one chemotherapeutic agent are administered concurrently.

30

31. The method of claim 27, wherein said at least one IDO inhibitor and said at least one chemotherapeutic agent are administered sequentially.

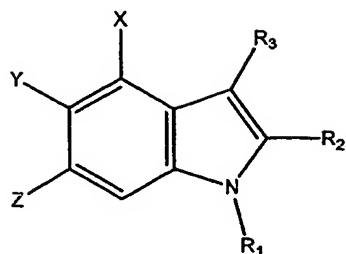


32. The method of claim 31, wherein said at least one IDO inhibitor is administered before said at least one chemotherapeutic agent.

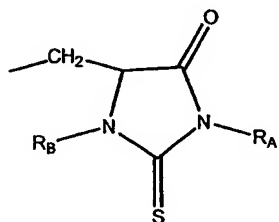
5           33. The method of claim 31, wherein said at least one chemotherapeutic agent is administered before said at least one IDO inhibitor.

10           34. The method of claim 27, wherein said cancer is selected from the group consisting of cancers of the prostate, colorectum, pancreas, cervix, stomach, endometrium, brain, liver, bladder, ovary, testis, head, neck, skin (including melanoma and basal carcinoma), mesothelial lining, white blood cell (including lymphoma and leukemia) esophagus, breast, muscle, connective  
15           tissue, lung (including small-cell lung carcinoma and non-small-cell carcinoma), adrenal gland, thyroid, kidney, or bone; glioblastoma, mesothelioma, renal cell carcinoma, gastric carcinoma, sarcoma, choriocarcinoma, cutaneous basocellular carcinoma, and testicular  
20           seminoma.

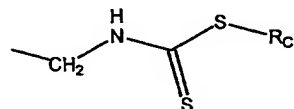
          35. A pharmaceutical composition for the treatment of a cancer, said composition comprising an effective  
25           amount of at least one indoleamine 2,3-dioxygenase (IDO) inhibitor and at least one chemotherapeutic agent in a pharmaceutically acceptable carrier medium, wherein said at least one IDO inhibitor is selected from the group of compounds having the structure of formula (I):



, wherein  $R_1$  is H or lower alkyl;  $R_2$  is H;  $R_3$  is selected from the group consisting of:

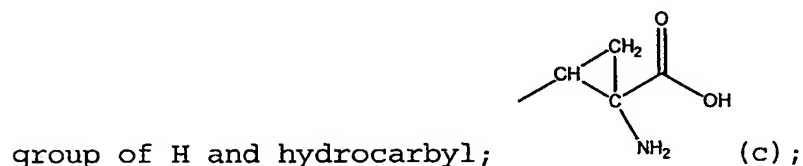


(a), wherein  $R_A$  and  $R_B$  are independently selected from the group of H and hydrocarbyl;

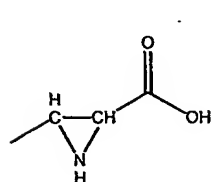


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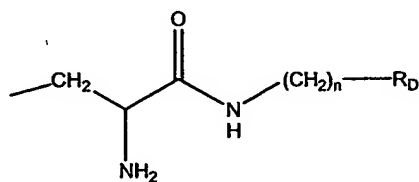
(b), wherein  $R_C$  is selected from the



group of H and hydrocarbyl;

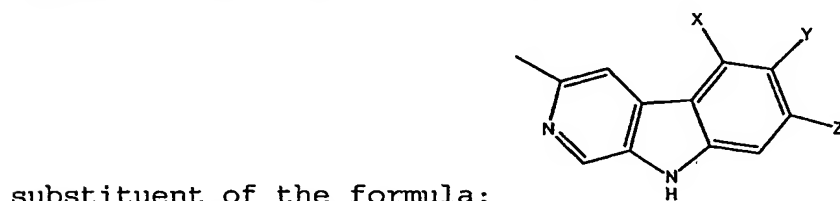


(d);



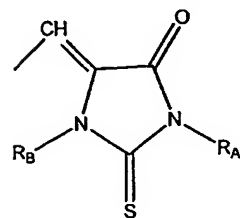
(e), wherein  $n$  is

a whole number from 1 to 10 and  $R_D$  is a carboline



substituent of the formula:

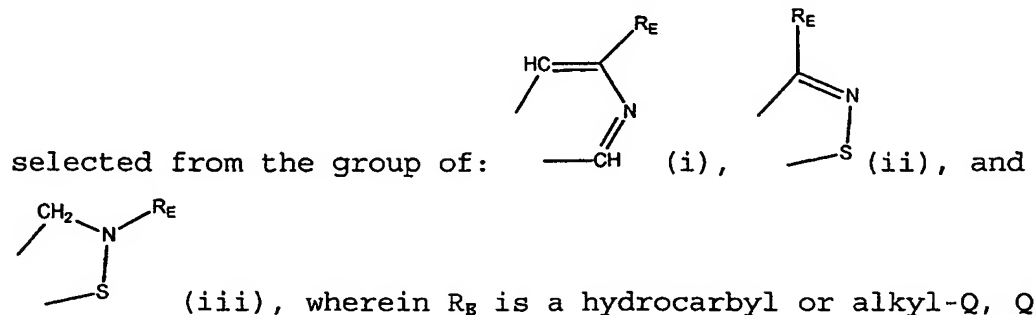
; and (f)



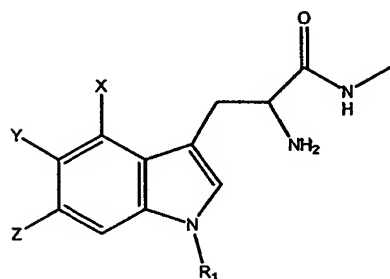
10

, wherein  $R_A$  and  $R_B$  are independently selected from the group of H and hydrocarbyl; or  $R_2$  and  $R_3$

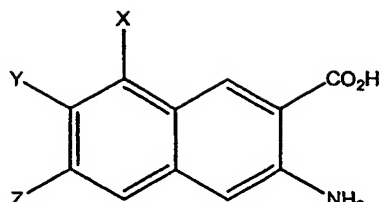
are joined together and represent part of a ring which is fused to the pyrrole moiety of formula (I) and which is



5 representing a substituent of the formula:



, the compound of formula (I) being a  $\beta$ -carboline derivative when R<sub>2</sub> and R<sub>3</sub> joined together represent (i), a brassilexin derivative when R<sub>2</sub> and R<sub>3</sub> joined together represent (ii), and an N-substituted brassilexin derivative when R<sub>2</sub> and R<sub>3</sub> joined together represent (iii); X, Y, and Z may be the same or different and are selected from the group consisting of H, halogen, NO<sub>2</sub>, and hydrocarbyl; and when R<sub>2</sub> and R<sub>3</sub> are joined together and represent part of a ring system, Y may also be isothiocyanate; with the proviso that formula (I) does not include a compound selected from the group of: 3-(N-methyl-thiohydantoin)-indole, 3-(N-phenyl-thiohydantoin)-indole, 3-(N-allyl-thiohydantoin)-indole, 5-methyl-brassinin, brassinin, brassilexin,  $\beta$ -carboline, 3-butyl- $\beta$ -carboline, 6-fluoro-3-carbomethoxy- $\beta$ -carboline, 6-isothiocyanate-3-carbomethoxy- $\beta$ -carboline, 3-propoxy- $\beta$ -carboline, 3-carboxy- $\beta$ -carboline, 3-carbopropoxy- $\beta$ -carboline, and 3-carbo-tert-butoxy- $\beta$ -carboline; and



formula (II):  $Z$  , wherein X, Y, and Z may be the same or different and are selected from the group consisting of H, halogen, NO<sub>2</sub>, and hydrocarbyl; and with the proviso that formula (II) does not include 3-amino-2-naphthoic acid.

36. The pharmaceutical composition of claim 14, wherein said at least one chemotherapeutic agent is selected from the group consisting of paclitaxel (Taxol®), cisplatin, docetaxol, carboplatin, vincristine, vinblastine, methotrexate, cyclophosphamide, CPT-11, 5-fluorouracil (5-FU), gemcitabine, estramustine, carmustine, adriamycin (doxorubicin), etoposide, arsenic trioxide, irinotecan, and epothilone derivatives.

37. The pharmaceutical composition of claim 15, wherein said at least one chemotherapeutic agent is paclitaxel.

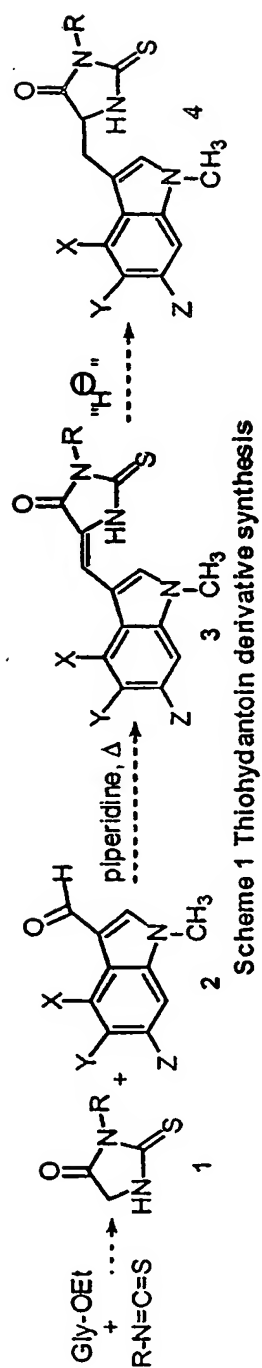
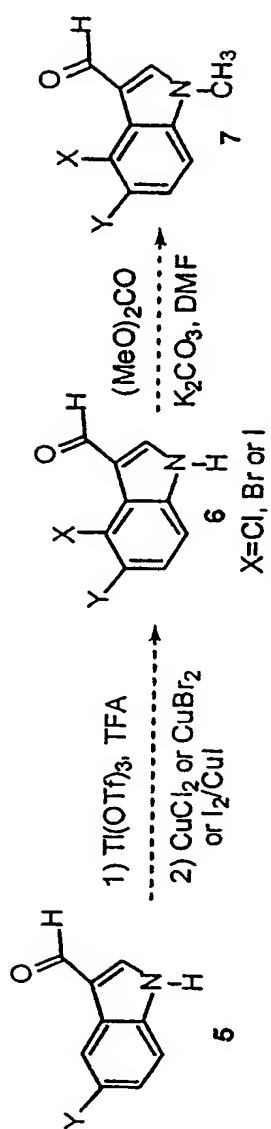
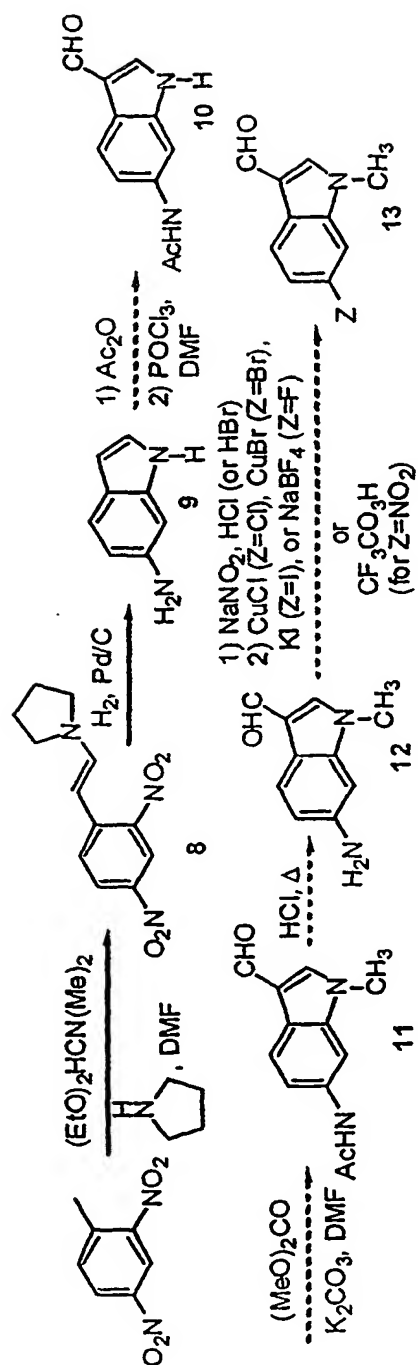


Figure 1



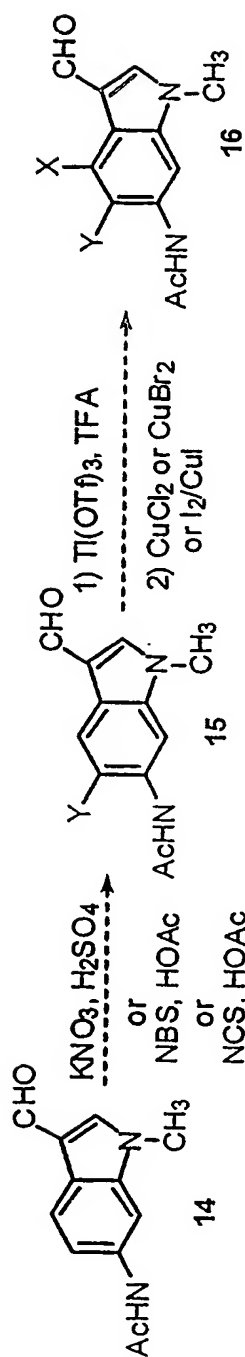
Scheme 2 Derivatization of Indole at C-4 and N-1

Figure 2



Scheme 3 Synthesis of C-6 Substituted Indoles

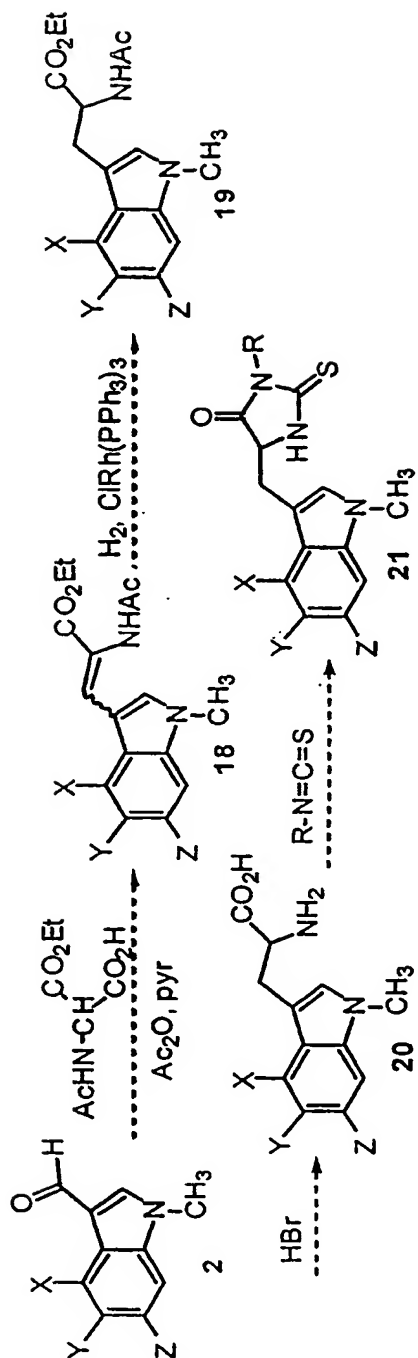
Figure 3



Scheme 4 Synthesis of C-4, C-5 and C-6 Trisubstituted Indoles

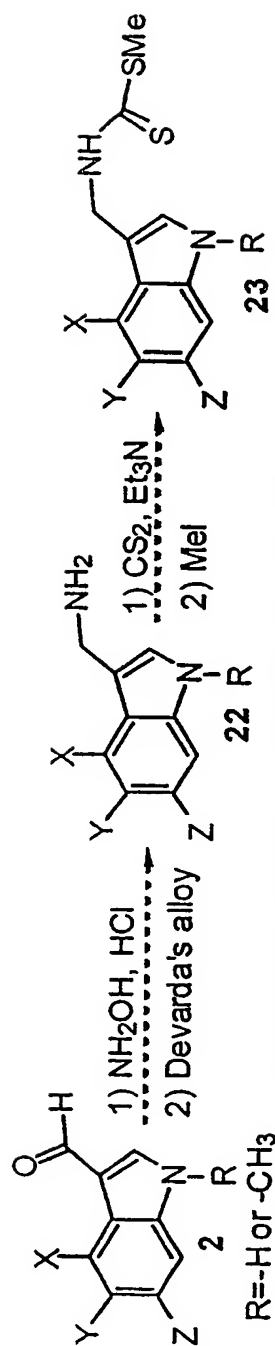
Figure 4





Scheme 5 Alternative Synthesis of Thiohydantoin Derivatives

Figure 5



Scheme 6 Brassinin Derivative Synthesis

Figure 6

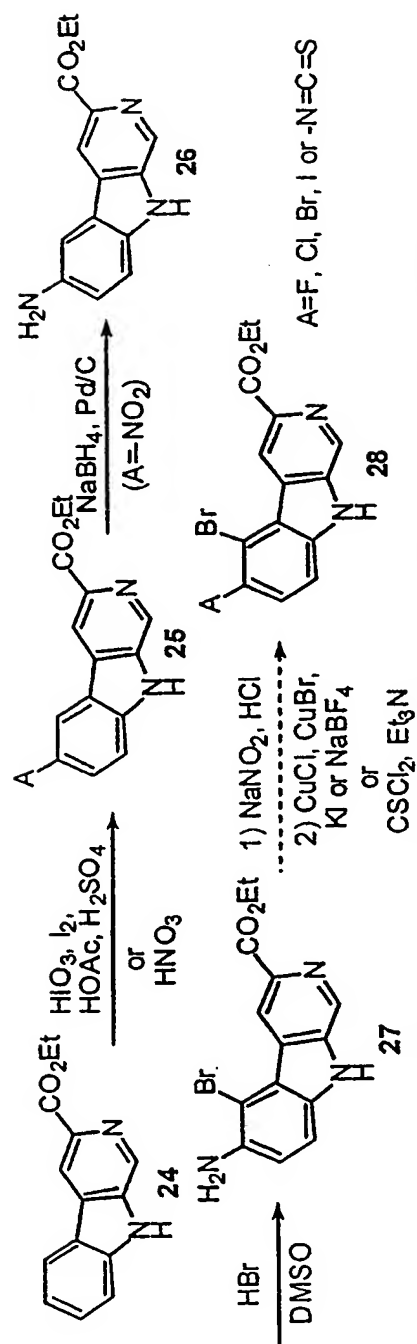
Scheme 7 Synthesis of C-5 and C-6 Substituted  $\beta$ -Carboline Derivatives

Figure 7

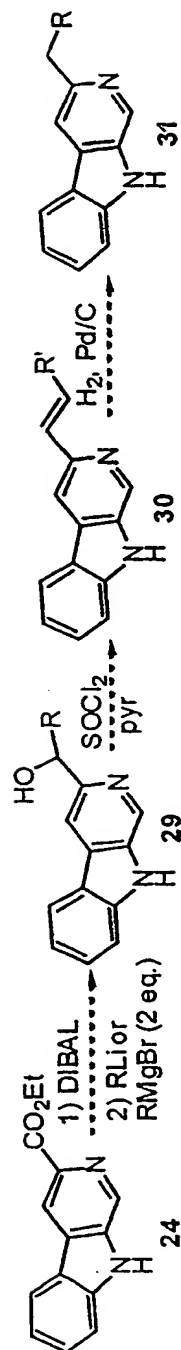
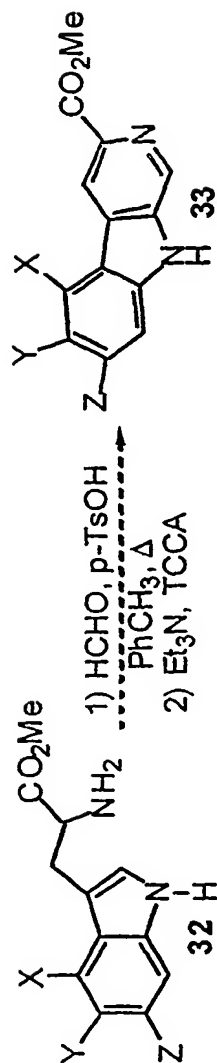
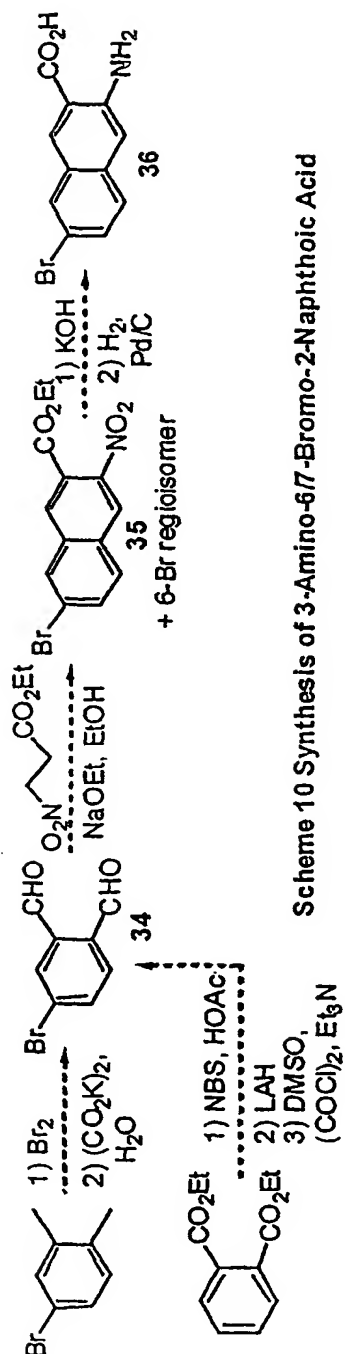
Scheme 8 Synthesis of C-3 Alkyl Substituted  $\beta$ -Carboline Derivatives

Figure 8



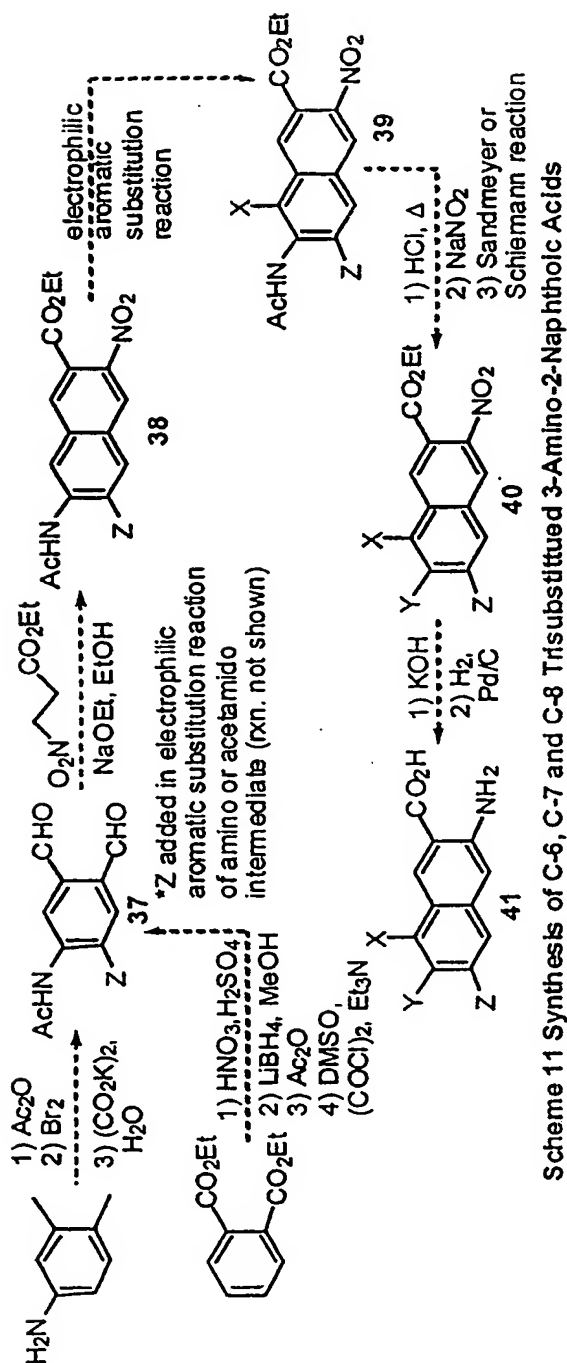
Scheme 9 Alternative Synthesis of  $\beta$ -Carboline Derivatives

Figure 9

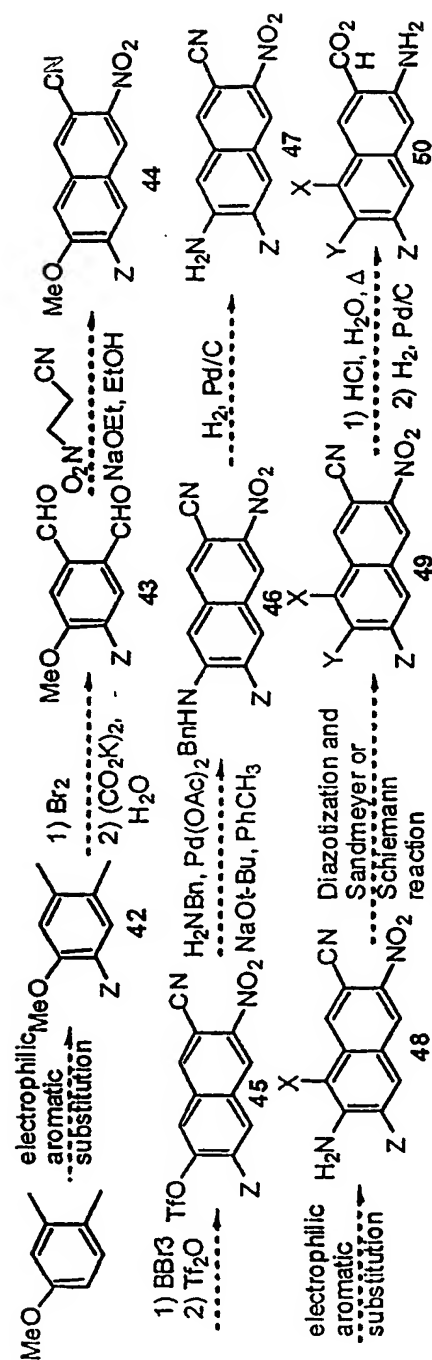


Scheme 10 Synthesis of 3-Amino-6/7-Bromo-2-Naphthoic Acid

Figure 10



**Figure 11**



Scheme 12 Alternative Synthesis of C-6, C-7 and C-8 Trisubstituted 3-Amino-2-Naphthol Acids

Figure 12



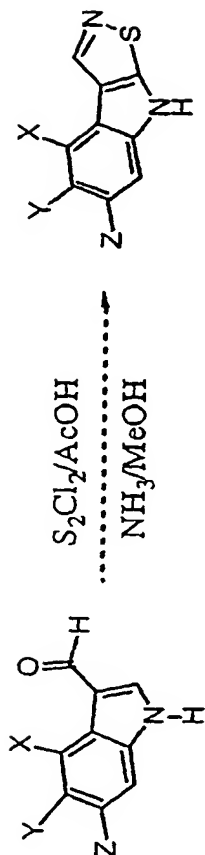


Figure 13

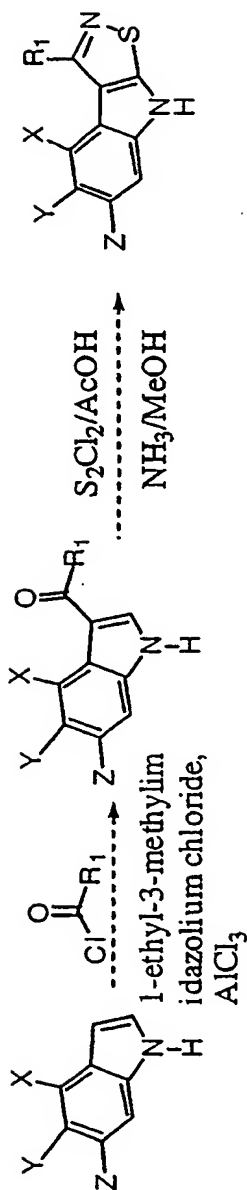


Figure 14

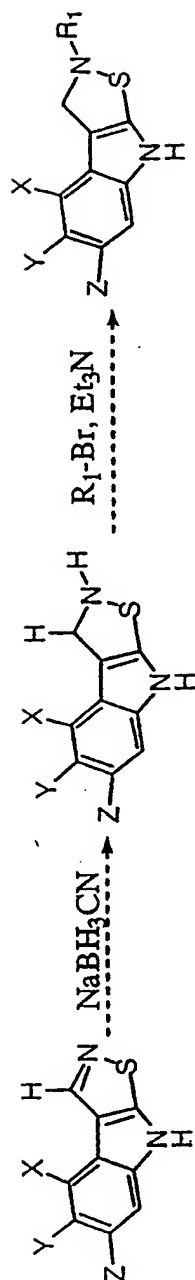


Figure 15

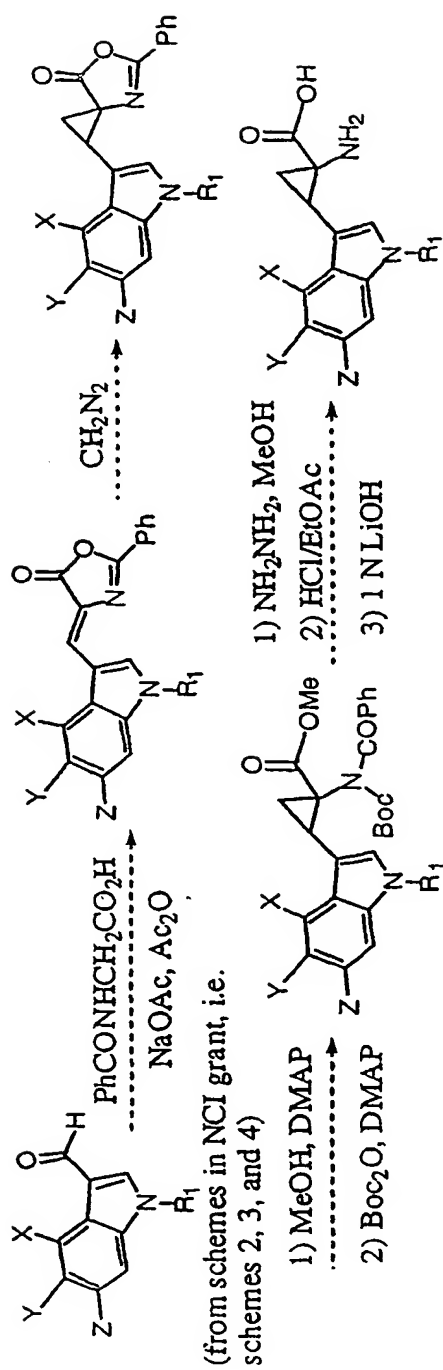


Figure 16

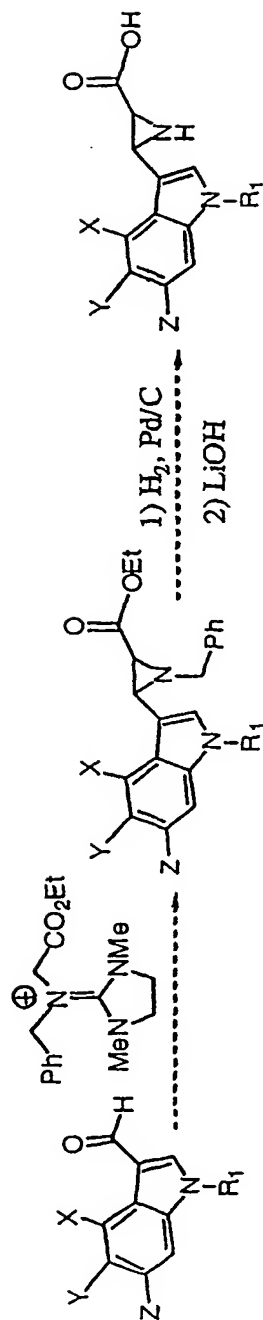


Figure 17

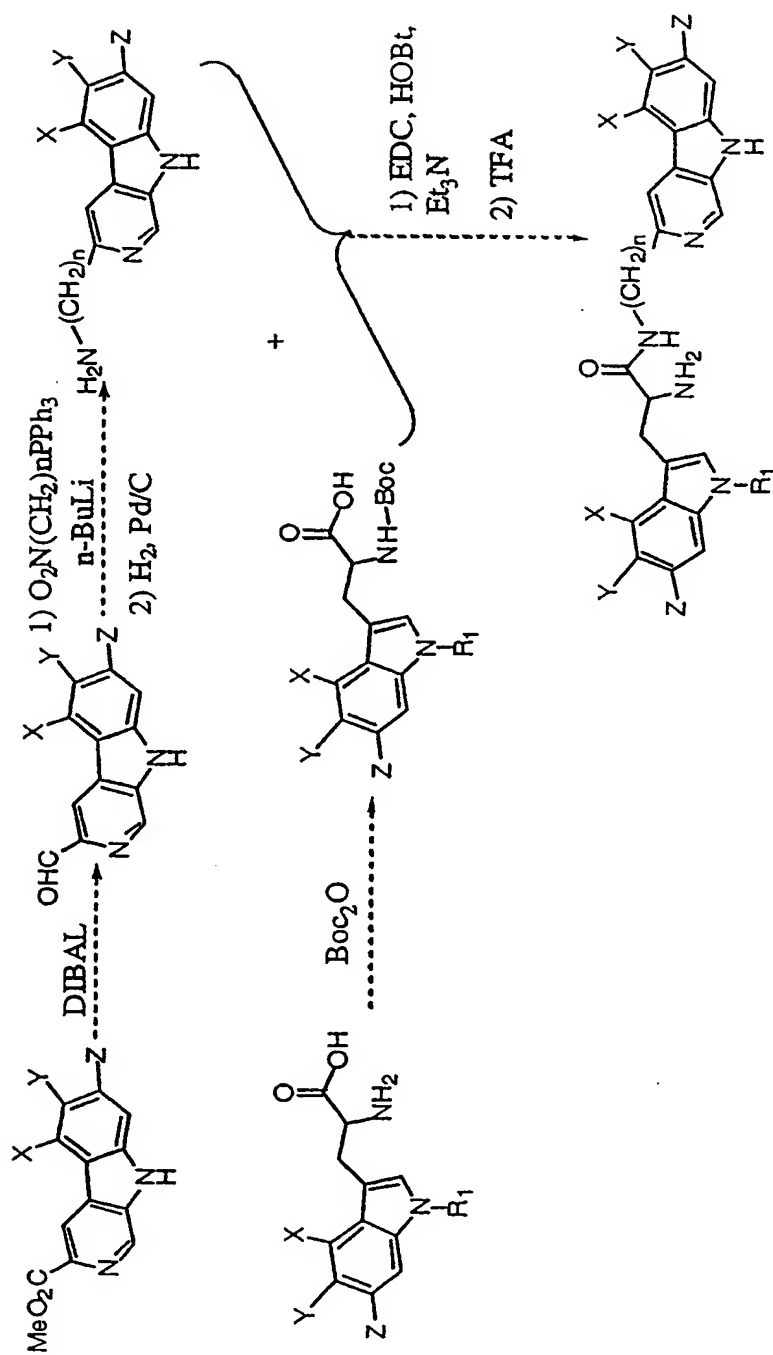


Figure 18

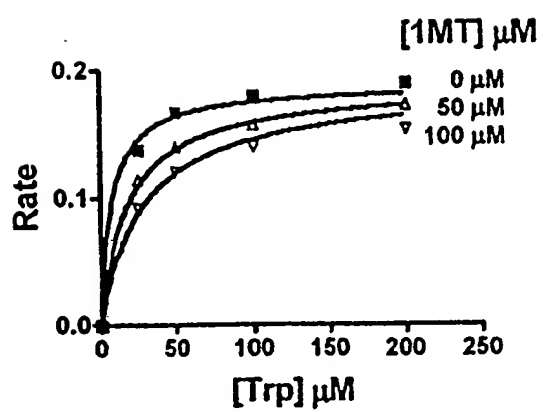


Fig. 19A

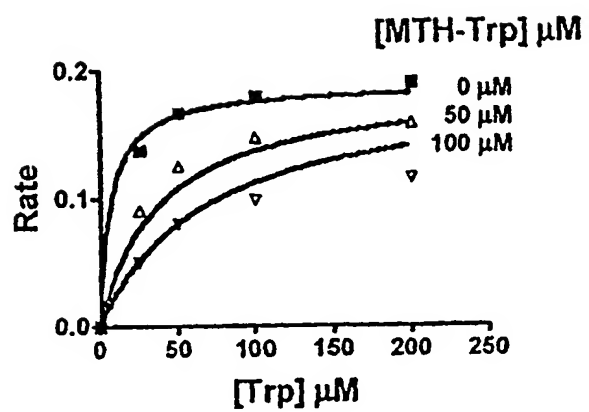
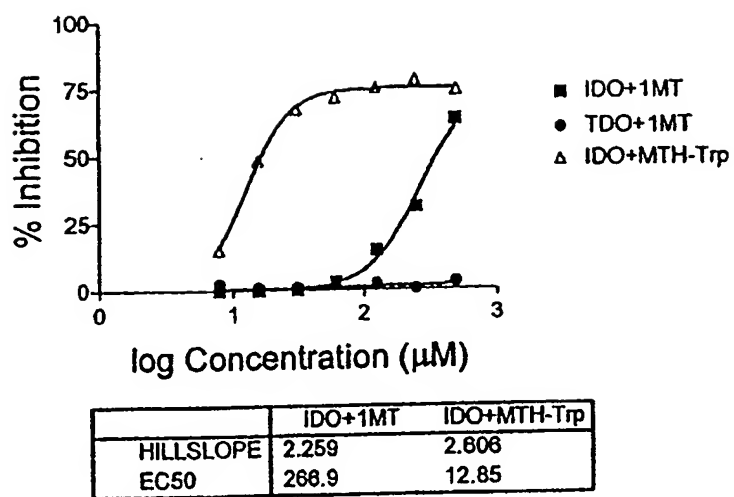
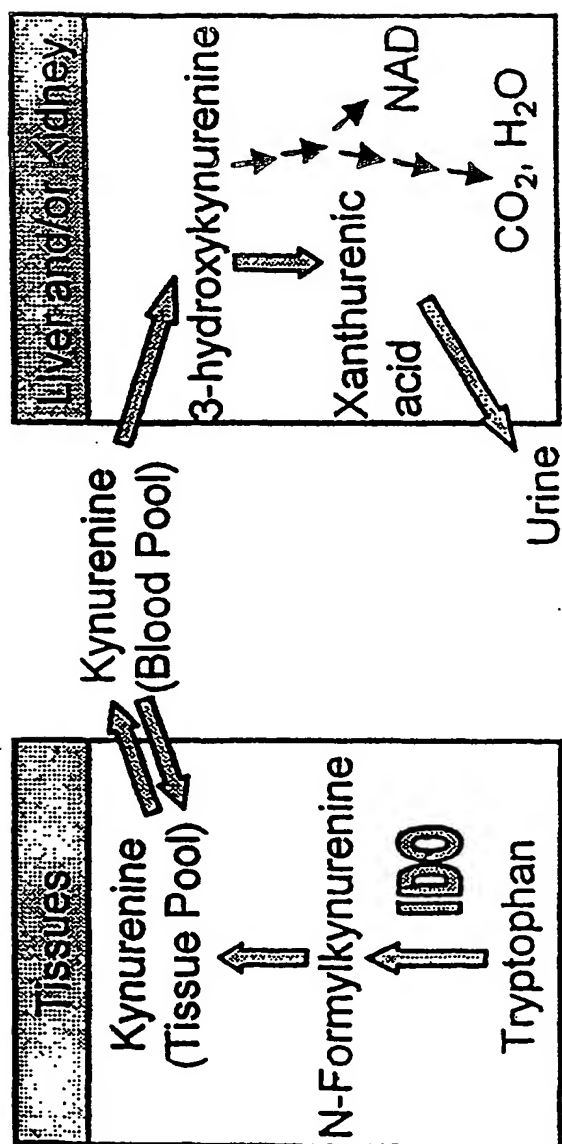


Fig. 19B



**Figure 20**

**Figure 21**

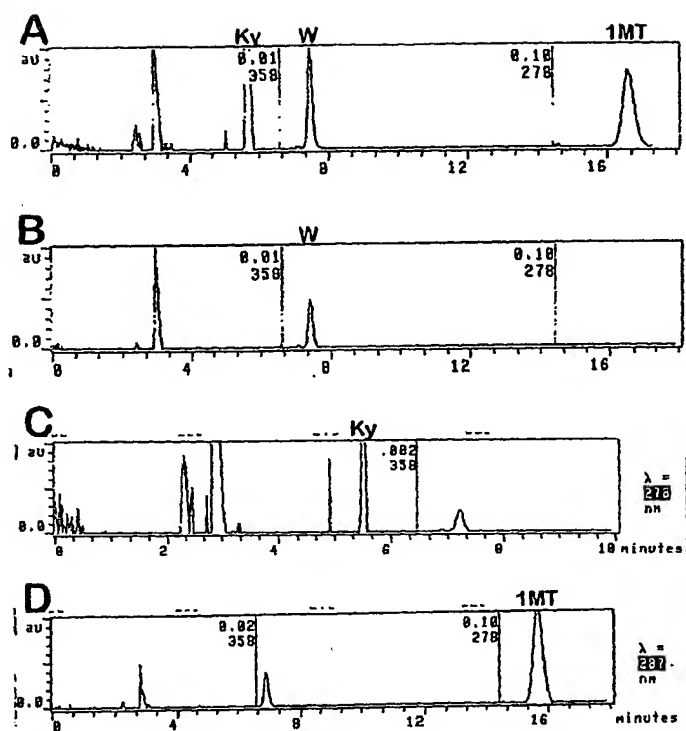


Figure 22

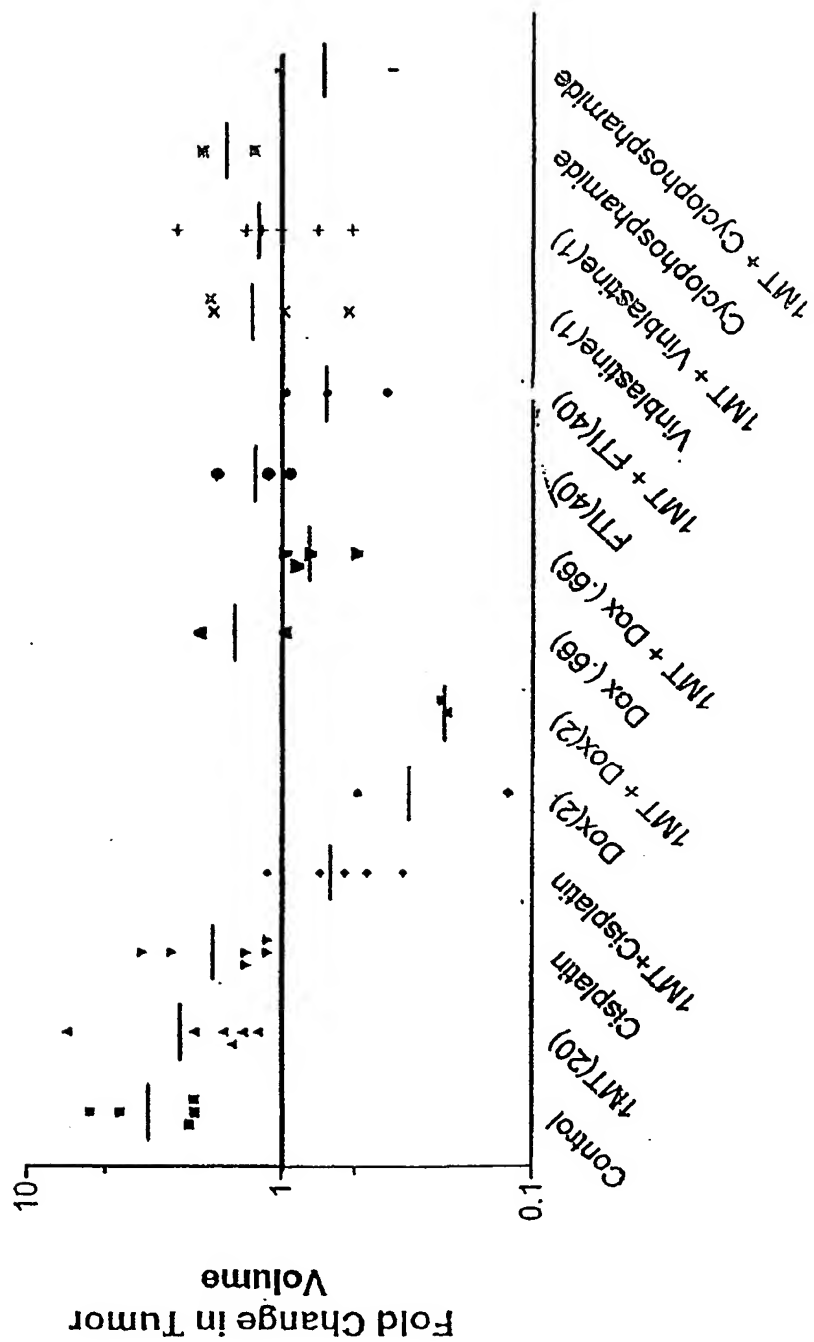


Figure 23

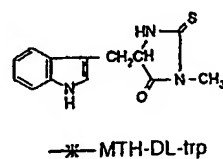
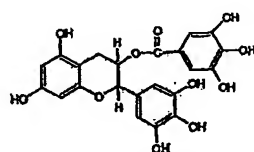
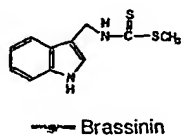
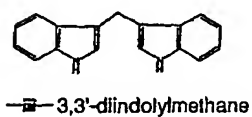
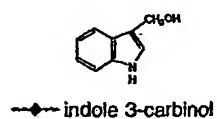
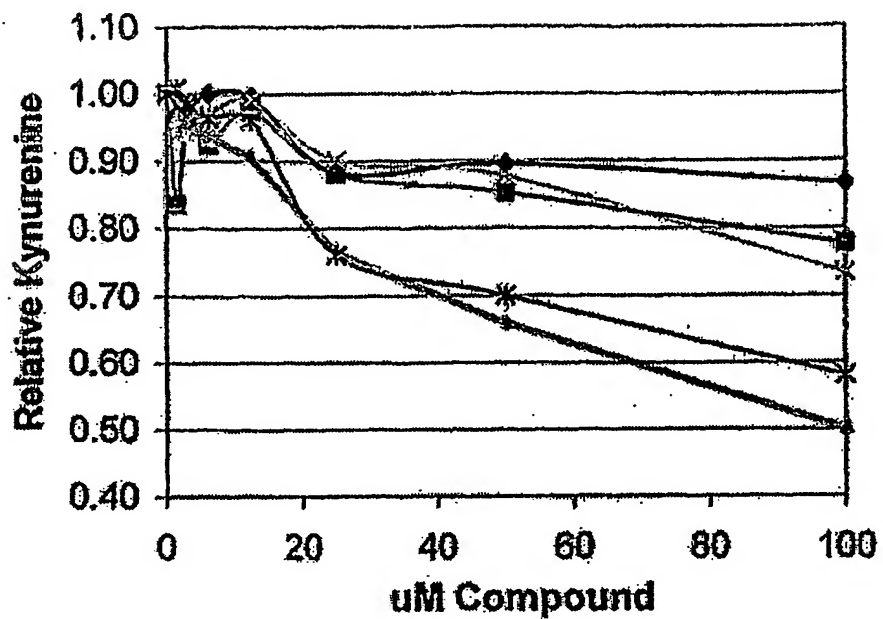


Figure 24

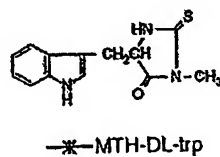
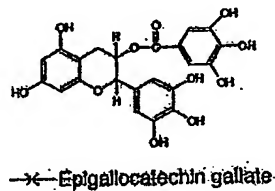
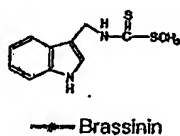
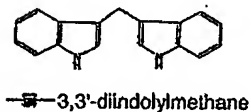
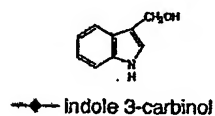
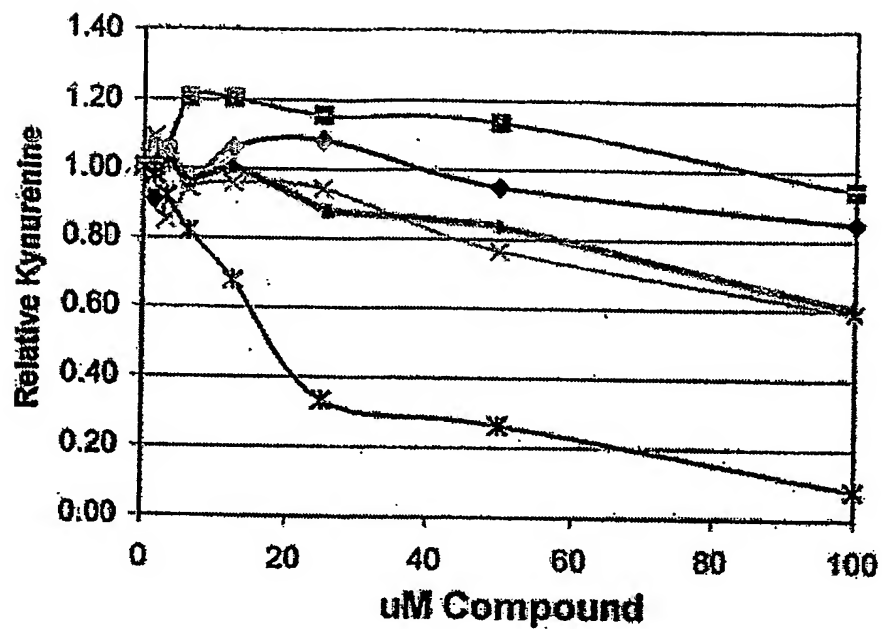


Figure 25A

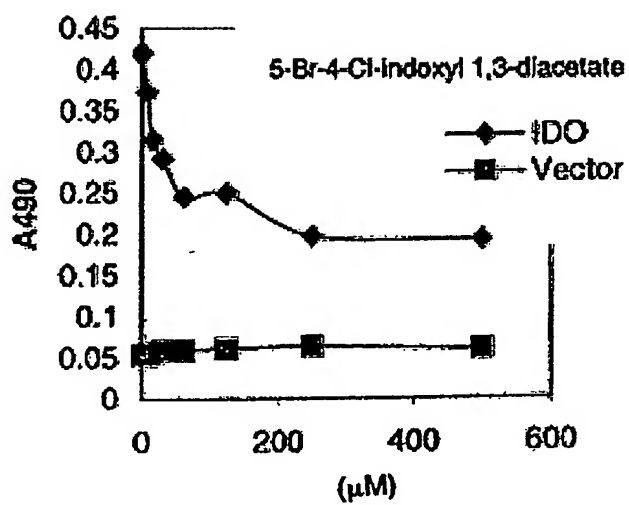


Figure 25B

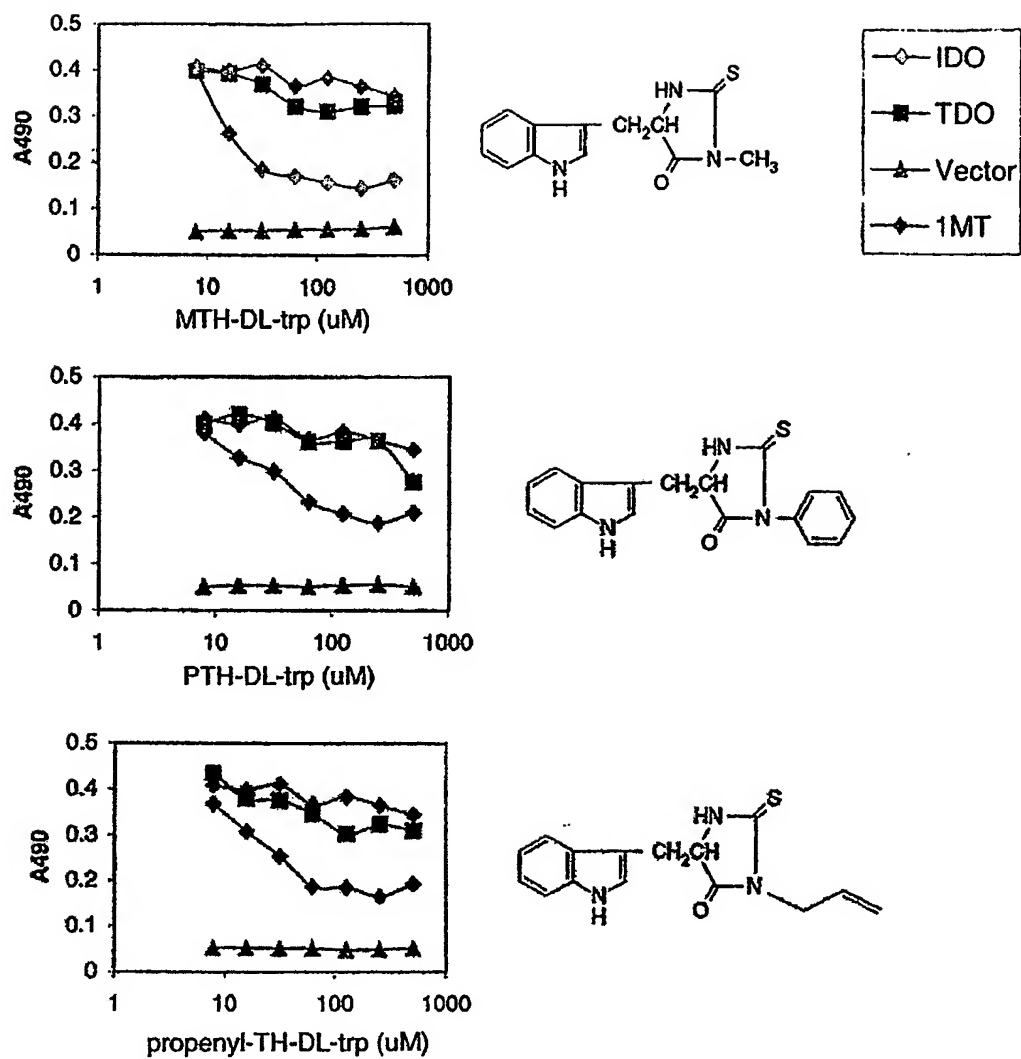


Figure 26



Compound name	Structure	IDO inhibition @ 250 $\mu$ M	TDO inhibition @ 250 $\mu$ M	Ratio IDO:TDO inhibition
1-DL-Methyl-Tryptophan		25.32%	4.74%	5.34
9-Vinylcarbazole		22.94%	19.33%	1.19
Acemetacin		30.25%	N.D.	N.D.
6-Bromo-DL-tryptophan		31.49%	18.05%	1.74
Acemetacin		32.55%	12.44%	2.62
5-Bromoindoxyl diacetate		59.72%	N.D.	N.D.

## Thiohydantoin (TH) derivatives of indoleamine

phenyl-TH-DL-trp (PTH-trp)		56.95%	17.83%	3.19
propenyl-TH-DL-trp (propTH-trp)		62.72%	25.17%	2.49
methyl-TH-DL-trp (MTH-trp)		68.40%	27.05%	2.63

Figure 27

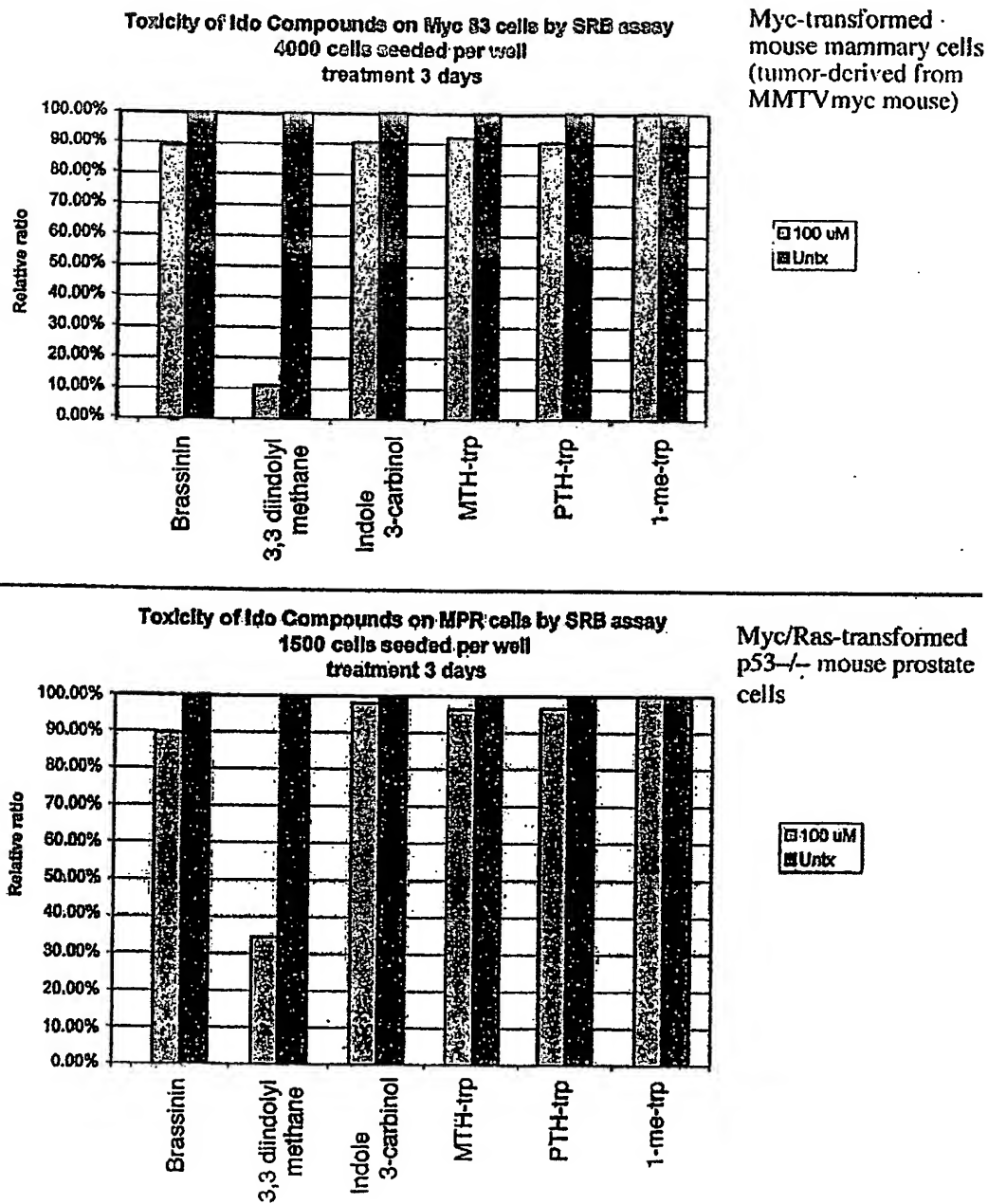
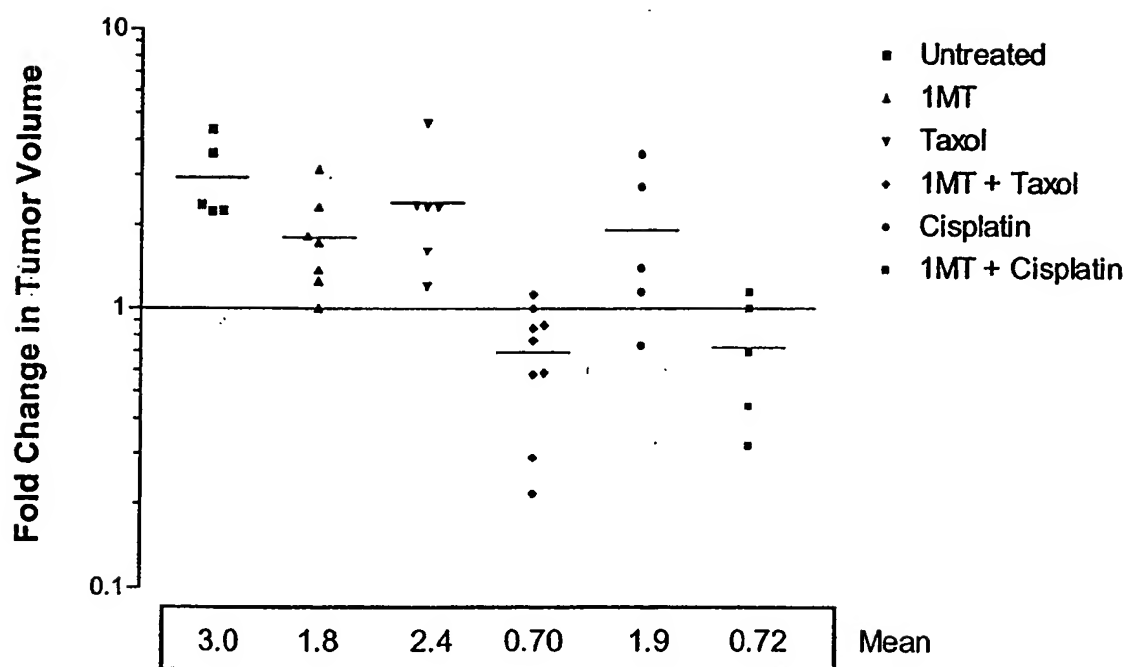


Figure 28

Figure 29



# INTERNATIONAL SEARCH REPORT

International application No.

PCT/US04/05154

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(7) : C07D 403/06

US CL : 548/312.1

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 548/312.1

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
STN CAS ONLINE

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 01/28493 A2 (PRESIDENT AND FELLOWS OF HARVARD COLLEGE) 26 April 2001 (26/04/01), see entire document, especially Figure 2.	1 (in-part)

☐ Further documents are listed in the continuation of Box C.

☐ See patent family annex.

\* Special categories of cited documents:

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"O" document referring to an oral disclosure, use, exhibition or other means

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"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

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Date of the actual completion of the international search

09 August 2004 (09.08.2004)

Date of mailing of the international search report

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Authorized officer

Laura L. Stockton, Ph.D.

Telephone No. 703/308-1235

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US04/05154

## C. (Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
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## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US04/05154

### Box No. II Observations where certain claims were found unsearchable (Continuation of item 2 of first sheet)

This international search report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.:  
because they relate to subject matter not required to be searched by this Authority, namely:  
Please See Confirmation Sheet
2. ☒ Claims Nos.: 2-37  
because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

### Box No. III Observations where unity of invention is lacking (Continuation of item 3 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest ☐ The additional search fees were accompanied by the applicant's protest.  
☐ No protest accompanied the payment of additional search fees.

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US04/05154

Box II Observations where certain claims were found unsearchable 1. because they relate to subject matter not required to be searched by this Authority, namely:

In claims 2-37, numerous variables (e.g., R<sub>3</sub>, X, Y, Z etc.), their voluminous involved meanings, their large number of permutations and combinations and the provisos in the claims make it virtually impossible to determine the full scope for which protection is sought. As presented, the claimed subject matter cannot be regarded as being a concise description for which protection is sought and as such, the claims do not comply with the requirements of PCT Article 6. Thus, it is impossible to carry out a meaningful timely search on same. A search will be provided on the first discernable invention which is Example 1 found on page 30 of the specification that is embraced by instant Claim 1.

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